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A Problem of Monotone Approximation

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Let $1 \leq k_1 \leq \dots \leq k_q \leq n$ be the positive integers and let $\varepsilon_i = \pm 1$, $i = 1, \dots, q$. We denote by H_n the set of all algebraic polynomials p of degree n or less, and then we define the sets

$$H_n(k_1, \dots, k_q)_{\varepsilon_1, \dots, \varepsilon_q} = \{p \in H_n; \varepsilon_i p^{(k_i)}(x) \geq 0 \text{ for } a \leq x \leq b, i = 1, \dots, q\},$$

$$F(k_1, \dots, k_q)_{\varepsilon_1, \dots, \varepsilon_q} = \{f \in C^{(k_q)}[a, b]; \varepsilon_i f^{(k_i)}(x) \geq 0 \text{ for } a \leq x \leq b, i = 1, \dots, q\}.$$

G. G. Lorentz and K. L. Zeller [1] approximated the function f in $F(k_1, \dots, k_q)_{\varepsilon_1, \dots, \varepsilon_q}$ by the polynomials p in $H_n(k_1, \dots, k_q)_{\varepsilon_1, \dots, \varepsilon_q}$, and they investigated this problem, such problem is called monotone approximation problem, in detail.

In [1] they proved the following.

Let $f \in F(k_1, \dots, k_q)_{\varepsilon_1, \dots, \varepsilon_q}$, $p \in H_n(k_1, \dots, k_q)_{\varepsilon_1, \dots, \varepsilon_q}$ and $f \neq p$, then the set $A(f, p) = \{x \in [a, b]; |f(x) - p(x)| = \|f - p\|\}$ is compact and the function $\alpha(x) = \text{SIGN}[f(x) - p(x)]$ is continuous on $A(f, p)$. Conversely, if a polynomial $p \in H_n(K_1, \dots, K_q)_{\varepsilon_1, \dots, \varepsilon_q}$, a compact set $A \subset [a, b]$ and a continuous sign function σ on A are given, then there exists a function $f \in C[a, b]$ for which $A = A(f, p)$ and $\alpha(x) = \text{SIGN}[f(x) - p(x)]$.

However they left whether one can take f here to be continuously differentiable with the properties $\varepsilon_i f^{(k_i)}(x) > 0$, $a \leq x \leq b$, $i = 1, \dots, q$. We can give a solution for this problem.

Theorem. Given a non-empty compact set $A \subset [a, b]$ and a continuous sign function σ , then for any $p \in H_n(k_1, \dots, k_q)_{\varepsilon_1, \dots, \varepsilon_q}$, $k_q \leq \text{DEG } P$, there exists a $f \in C^{(2n-1)}[a, b]$ for which $A = A(f, p)$, $\alpha(x) = \text{SIGN}[f(x) - p(x)]$ on A and $\varepsilon_i f^{(k_i)}(x) \geq 0$ for $a \leq x \leq b$, $i = 1, \dots, q$.

First, we give a lemma. Let $\delta > 0$, $n = 1, 2, \dots$, then the function

$$\lambda(x) = \lambda(\delta, x) = \lambda_{[\alpha, \beta]}(\delta, x) = \frac{\delta(x - \alpha)^{2n}}{(x - \alpha)^{2n} + (x - \beta)^{2n}}, \quad \alpha \leq x \leq \beta$$

has the following properties;

- (a) $\lambda(\alpha)=0, \lambda(\beta)=\delta, 0<\lambda(x)<\delta$ for $\alpha<x<\beta$,
- (b) $\lambda^{(k)}(\alpha)=\lambda^{(k)}(\beta)=0$ for $1\leq k\leq 2n-1$,
- (c) if $\varepsilon_i p^{(k_i)}(x)>0$ for all $\alpha<x<\beta, i=1,\dots,q$, there exist $\delta_1>0$ and $\delta_2>0$ for which
 $|\lambda^{(k_i)}(\delta_1, x)|<|p^{(k_i)}(x)|$ for all $\alpha\leq x\leq\beta, i=1,\dots,q$, or $|\lambda^{(k_i)}(\delta_2, -x+\alpha+\beta)|\leq|p^{(k_i)}(x)|$ for all $\alpha\leq x\leq\beta, i=1,\dots,q$.

Also, let $\gamma>0$, then the function

$$\mu(x)=\mu(\gamma, x)=\mu_{[\alpha, \beta]}(\gamma, x)=\begin{cases} \lambda_{[\alpha, \frac{\alpha+\beta}{2}]}(\gamma, x), & \alpha\leq x\leq \frac{\alpha+\beta}{2} \\ \lambda_{[\frac{\alpha+\beta}{2}, \beta]}(\gamma, -x+\frac{\alpha+\beta}{2}+\beta), & \frac{\alpha+\beta}{2}<x\leq\beta, \end{cases}$$

has the following properties ;

- (a') $\mu(\alpha)=\mu(\beta)=0, 0<\mu(x)<\gamma$ for $\alpha<x<\beta$,
- (b') $\mu^{(k)}(\alpha)=\mu^{(k)}(\beta)=(\mu^{(k)}\frac{\alpha+\beta}{2})=0$ for $1\leq k\leq 2n-1$,
- (c') if $\varepsilon_i p^{(k_i)}(x)>0$ for all $\alpha<x<\beta, i=1,\dots,q$, there exists $\gamma>0$ for which
 $|\mu^{(k_i)}(\gamma, x)|\leq|p^{(k_i)}(x)|$ for all $\alpha\leq x\leq\beta, i=1,\dots,q$.

Thus we get the following lemma.

Lemma. Let $\varepsilon_i p^{(k_i)}(x)>0$ for all $\alpha<x<\beta, i=1,\dots,q$, and let $\lambda_{[\alpha, \beta]}(\delta, x)$ and $\mu_{[\alpha, \beta]}(\gamma, x)$ be the functions defined as above. Then we have the following functions.

- (1) Let $f_1(x)=p(x)+\frac{\delta}{2}-\lambda(\delta, x)$, then f_1 has the following properties ;
 - (a) $f_1(\alpha)=p(\alpha)+\frac{\delta}{2}, f_1(\beta)=p(\beta)-\frac{\delta}{2}, |f_1(x)-p(x)|<\frac{\delta}{2}$ for $\alpha<x<\beta$,
 - (b) $\varepsilon_i f_1^{(k_i)}(x)\geq 0$ for $\alpha\leq x\leq\beta, i=1,\dots,q$,
 - (c) $f_1^{(k_i)}(\alpha)=p^{(k_i)}(\alpha), f_1^{(k_i)}(\beta)=p^{(k_i)}(\beta)$ for $i=1,\dots,q$.
- (2) Let $f_2(x)=p(x)-\frac{\delta}{2}+\lambda(\delta, x)$, then f_2 has the following properties ;
 - (a) $f_2(\alpha)=p(\alpha), f_2(\beta)=p(\beta)+\frac{\delta}{2}, |f_2(x)-p(x)|<\frac{\delta}{2}$ for $\alpha<x<\beta$ and f_2 satisfies the above conditions (1), (b) and (c).
- (3) Let $f_3(x)=p(x)+\frac{\delta}{2}-\mu(\gamma, x), 0<\gamma<\delta$, then f_3 has the following properties ;
 - (a) $f_3(\alpha)=p(\alpha)+\frac{\delta}{2}, f_3(\beta)=p(\beta)+\frac{\delta}{2}, |f_3(x)-p(x)|<\frac{\delta}{2}$ for $\alpha<x<\beta$, and f_3 satisfies the above conditions (1) (b) and (c).
- (4) Let $f_4(x)=p(x)-\frac{\delta}{2}+\mu(\gamma, x), 0<\gamma<\delta$, then f_4 has the following properties ;
 - (a) $f_4(\alpha)=p(\alpha)-\frac{\delta}{2}, f_4(\beta)=p(\beta)-\frac{\delta}{2}, |f_4(x)-p(x)|<\frac{\delta}{2}$ for $\alpha<x<\beta$, and f_4 satisfies the above conditions (1), (b) and (c).

Proof of Theorem. Given A and σ , then we can find the finite sets of points ;
 $\{a_j\}_{j=1}^m, \{b_j\}_{j=1}^m \subset A$ such that $a\leq a_1\leq b_1 < a_2\leq b_2 < \dots < a_m\leq b_m\leq b, A=\cup_{j=1}^m A_j$,

$A_j = [a_j, b_j] \cap A$, $j=1, \dots, m$, and σ is constant on each A_j , but σ changes its sign on the successive A_j and A_{j+1} . Here we will assume that $a \in A$ and $b \notin A$, though we can consider four cases such that (1) $a \in A$ and $b \in A$, (2) $a \in A$ and $b \notin A$, (3) $a \notin A$ and $b \in A$, (4) $a \notin A$ and $b \notin A$. Then

$$[a, b] \setminus A = \bigcup_{j=1}^{m-1} (b_j, a_{j+1}) \cup (b_m, b) \cup \bigcup_{i=1}^{\infty} (c_i, d_i).$$

We define the sets

$$\begin{aligned} \mathcal{A} &= \{[b_j, a_{j+1}]; j=1, \dots, m-1\}, \mathcal{B} = \{[c_i, d_i]; i=1, 2, \dots\}, \\ Z &= \{x \in [a, b] \setminus A; P^{(k_i)}(x) = 0 \text{ for some } i=1, \dots, q\}, \\ \mathcal{A}_1 &= \{I \in \mathcal{A}; I \cap Z \neq \emptyset\}, \mathcal{A}_1 = \{I \in \mathcal{A}; I \cap Z = \emptyset\}, \\ \mathcal{B}_1 &= \{I \in \mathcal{B}; I \cap Z \neq \emptyset\}, \mathcal{B}_1 = \{I \in \mathcal{B}; I \cap Z = \emptyset\} \end{aligned}$$

Here Z is finite, because $k_q \leq \text{DEG } p$. If $I = [b_j, a_{j+1}] \in \mathcal{A}_1$, we have

$$b_j < z_j = \min(I \cap Z) \leq z'_j = \max(I \cap Z) < a_{j+1}.$$

Then we define the sets

$$\begin{aligned} \mathcal{A}_2 &= \{[b_j, z_j], [z'_j, a_{j+1}]; [b_j, a_{j+1}] \in \mathcal{A}_1\}, \\ \mathcal{A}_2 &= \{[z_j, z'_j]; [b_j, a_{j+1}] \in \mathcal{A}_1\}. \end{aligned}$$

Similarly we define as following ;

$$\begin{aligned} c_i < z_i'' = \min(I \cap Z) < z_i''' = \max(I \cap Z) < d_i \text{ for } I = [c_i, d_i] \in \mathcal{B}_1, \\ \mathcal{B}_2 &= \{[c_i, z_i''], [z_i''', d_i]; [c_i, d_i] \in \mathcal{B}_1\}, \\ \mathcal{B}_2 &= \{[z_i'', z_i''']; [c_i, d_i] \in \mathcal{B}_1\}. \end{aligned}$$

We also define as following ;

$$\begin{aligned} z_o &= \begin{cases} \min([b_m, b] \cap Z) & \text{if } (b_m, b] \cap Z \neq \emptyset, \\ b & \text{if } [b_m, b] \cap Z = \emptyset \end{cases} \\ \mathcal{O} &= \{[b_m, z_o]\}, \bar{c} = \{[z_o, b]\} \end{aligned}$$

To decide the required function f we will give f on each interval defined as above.

Since the total number of the intervals in \mathcal{A}_1 , \mathcal{A}_2 , \mathcal{B}_2 and \mathcal{O} is finite, we can take the function f such as (1) or (2) in Lemma with a constant $\delta^* > 0$. On A we take f such that $f(x) = p(x) + \sigma(x)\delta^*$. On each interval in \mathcal{A}_1 we take f such that it is continuously connected with f defined on \mathcal{A} and it is given by (1) or (2) in Lemma with δ^* . On each interval in \mathcal{B}_1 we take f such that it is continuously connected with f defined on A and

it is given by (3) or (4) in Lemma with δ^* and $0 < \gamma < \delta^*$. On each interval in $\mathcal{A}_2, \mathcal{B}_2$, and \mathcal{C} we take f such that $f(x) = p(x)$. Lastly, on each interval in $\mathcal{A}_2, \mathcal{B}_2$ and \mathcal{C} we take f such that it is continuously connected with f defined as above and it equals to one of the following functions;

$$f(x) = p(x) + \frac{\delta^*}{2} - \lambda\left(\frac{\delta^*}{2}, x\right), \quad f(x) = p(x) - \frac{\delta^*}{2} + \lambda\left(\frac{\delta^*}{2}, x\right).$$

It is clear for this function f to satisfy the conditions required in theorem.

(q.e.d.)

Reference

- [1] G.G.Lorentz and K.L.Zeller, Monotone Approximation by Algebraic Polynomials, Trans. Amer. Math. Soc., 149[1970] 1-18.