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## A remark on 4 dimensional compact aspherical homogeneous space

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#### Introduction

In this note we shall consider the homeomorphism type of the compact aspherical homogeneous space of dimension 4. Let G be a connected, simply connnected Lie group and H a closed subgroup of G such that G/H is a compact aspherical homogeneous space of dimension 4 and G acts on G/H irreducibly, i. e. no proper subgroup of G does not act transitively. When G is a solvable group, the homeomorphism type of the solvmanifold is uniquely determined by its fundamental group ([5], [6]). It is proved that if M and N are both compact connected negatively curved Riemannian manifolds with isomorphic fundamental groups, then M and N are homeomorphic provided  $\dim M \neq 3$  and 4 ([2]). Recently it is shown that the homeomorphism type of the compact homogeneous space is determined up to a finite covering by its fundamental group ([7]). We shall prove the following

THEOREM. Let G/H be a 4 dimensional compact homogeneous space, where G is a connected, simply connected Lie group and H is a closed subgroup of G. If G acts on G/H irreducibly, the fundamental group of G/H is solvable.

From the result that compact aspherical homogeneous spaces with isomorphic solvable fundamental groups are homeomorphic ([4]), we have the following

COROLLARY. Let  $G_i$  be a connected, simply connected Lie group and  $H_i$  its closed subgroup such that  $G_i/H_i$  is a closed 4 dimensional aspherical manifold on which  $G_i$  acts irreducibly for i=1, 2. If the fundamental groups of  $G_1/H_1$  and  $G_2/H_2$  are isomorphic, then  $G_1/H_1$  and  $G_2/H_2$  are homeomorphic.

#### 1. Preliminaries

In this note we use the following notations.

- (1) **Z**, **R** and **N** denote the ring of integers, the field of real numbers and the set of natural numbers, respectively.
- (2) For a Lie group G,  $G^o$  denotes its identity component.
- (3) [G, G] denotes the derived subgroup of group G.
- (4) Let G be a group and H its subgroup.  $N_G(H)$  denotes the normalizer of H in G.
- (5) For a Lie group G, L(G) denotes its Lie algebra.
- (6) For a group G, z(G) denotes the center of G.

Then we have the following definitions.

Definition. A manifold M is said aspherical or of type  $K(\pi, I)$  if all its homotopy groups, except possibly its fundamental group, are equal to zero.

Definition. A transitive action of a Lie group G on manifold M is said irreducible if the following conditions are satisfied;

- (1) G acts on M effectively
- (2) G does not contain proper subgroups which are transitive on M.

Definition. Let G be a Lie group. A subgroup T of G is said to be triangular if its image ad(T) by the adjoint representation of G is a triangular group of transformations of L(G).

Let G be a connected Lie group and  $G = S \cdot R$  be a Levi decomposition of G, where S is a semisimple subgroup of G and R is the radical of G. There are well known following facts.

THEOREM 1 ([3]). Let M = G/H be compact and aspherical, and let G be simply connected and locally effective on M. Then the following assertions are true;

- (1) G is diffeomorphic to  $\mathbf{R}^n$
- (2)  $H^o \subset T \cdot R$ , where T is some maximal connected triangular subgroup of S
- (3) if G is irreducible on M, then  $H^o \subset [T, T] \cdot R$ .

It follows, from this Theorem 1, that if  $G = S \cdot R$  is a Levi decomposition of G which is diffeomorphic to  $\mathbb{R}^n$ , then S is isomorphic to  $A_1 \times \cdots \times A_p$ , where  $A_i$  is a simple Lie group which is isomorphic to the universal covering group A of  $SL(2, \mathbb{R})$  and diffeomorphic to  $\mathbb{R}^3$ .

THEOREM 2 ([4]). Let M be a compact homogeneous space of type  $K(\pi, 1)$  with  $\pi$  solvable. Then the following assertions are true;

- (1) M is homeomorphic to solvmaifold
- (2) if  $M_1$  is another compact homogeneous space of type  $K(\pi, 1)$ , then  $M_1$  is homeomorphic to M

This Theorem 2 means that the homeomorphism type of the compact aspherical homogeneous space is determined by its fundamental group, if it is solvable.

THEOREM 3 ([6]). Let G be a connected Lie group and H a closed subgroup of G such that G/H is compact. Then  $N_G(H^o)$  contains a maximal connected triangular subgroup of G.

### 2. Example

This section is mainly concerned with the manifold which is constructed in [4] . Let G be a Lie group and F, H closed subgroups of G such that  $F \supset H$ . We consider a fibration

$$F/H \to G/H \to G/F$$

where G/H is the base space and F/H is the fiber space. If  $G_1 \subset G$  is a subgroup which is transitive on G/F, then the fibration is homogeneous with respect to  $G_1$ . The stationary group of the fiber is  $F_1 = F \cap G_1$ . We have the following

PROPOSITION 1. If  $F_1 \subset H$ , then the action of  $F_1$  on F/H is induced by the action of  $F_1$  by inner automorphisms of F.

PROOF. Let gH be an element of F/H, Then since  $g_1 \subseteq H$ , we have

$$g_1 \cdot (gH) = g_1 g g_1^{-1} g_1 H = g_1 g g_1^{-1} H, g_1 \in F_1.$$

Thus the assertion is proved.

Let G be  $SL(2, \mathbf{R}) \times_{ad} \mathbf{R}^3$ , where ad is the adjoint representation of  $SL(2, \mathbf{R})$  and let T be the group of the triangular matrices with positive elements on the diagonal. Then  $T \times_{ad+T} \mathbf{R}^3$  is a solvable group and there exists a subspace  $V \subset \mathbf{R}^3$  of codimension 1 which is invarianat with respect to T. Hence  $T \times_{ad+T} \mathbf{R}^3/V$  is 3 dimensional solvable group and so [T, T],  $\mathbf{R}^3$  C. Thus we obtain an abelian Lie group  $[T, T] \cdot \mathbf{R}^3/V$ . The subgroup

$$D_{\alpha} = \left\{ \begin{array}{cc} \left( \begin{array}{cc} e^{k\alpha} & 0 \\ 0 & e^{-k\alpha} \end{array} \right) \mid k \in \mathbf{Z} \end{array} \right\}$$

acts on  $[T, T] = \mathbf{R}^1$  by the multiplication by  $e^{2\alpha}$ . If  $\alpha \in \mathbf{R}$  is such that  $e^{2\alpha} + e^{-2\alpha} \in \mathbf{N}$ , then  $D_{\alpha}$  is conjugate with the subgroups of integral valued matrices in the group of automorphisms of  $[T, T] \cdot \mathbf{R}^3/V = \mathbf{R}^2$ . Hence  $D_{\alpha}$  preserves some lattice  $\Gamma$  in  $[T, T] \cdot \mathbf{R}^3/V$ . We set  $H_1 = p^{-1}(\Gamma)$ , where  $p:[T, T] \cdot \mathbf{R}^3 \to [T, T] \cdot \mathbf{R}^3/V$  is a natural projection. Let H be the subgroup of G generated by  $D_{\alpha}$  and  $H_1$ . Then it follows that H is a closed in G and  $G/H = M_{\alpha}$  is a compact 4 dimensional homogeneous space. If we set  $H' = z(SL(2,\mathbf{R})) \cdot H$  in place of H, then we get another analogous manifold  $G/H' = M'_{\alpha}$ .

PROPOSITION 2.  $M_{\alpha}$  and  $M'_{\alpha}$  are diffeomorphic to solvmanifolds.

PROOF. Since  $H \subset T \cdot \mathbf{R}^3$ , we have the fibration  $T \cdot \mathbf{R}^3/H \to G/H \to G/T \cdot \mathbf{R}^3$ . Here the base space  $G/T \cdot \mathbf{R}^3$  is diffeomorphic to  $S^1$ . Then a maximal compact subgroup K of  $SL(2, \mathbf{R})$  is transitive on  $G/T \cdot \mathbf{R}^3$  and so, by Proposition 1, the diffeomorphism of the fiber space is trivial. Hence G/H is diffeomorphic to  $T \cdot \mathbf{R}^3/H \times S^1$ , because a bundle over  $S^1$  is determined by a diffeomorphism of the fiber space. This manifold is a solvmanifold. For  $M'_{\alpha}$  this argument is also applicable, because  $z(SL(2, \mathbf{R}))$  acts trivially on  $\mathbf{R}^3$ .

#### 3. Proof of Theorem

In this section we shall prove Theorem in Introduction. let G be a connected, simply connected Lie group which is irreducible on M = G/H and  $G = S \cdot R$  be a Levi decomposition with the natural projection  $q: G \to S$ . Then, from the remark following Theorem 1, we have  $S = S_1 \times \cdots \times S_p$ . Let  $p_i: S \to A_i$  be the natural projection for  $i = 1, \dots, p$ . From Theorem 1 we have that dim  $p_i \cdot q(H) \leq 1$ . Since dim M = 4, we get that  $p \leq 2$ .

#### (1) Case of p=2

In this case we have that  $G=(A_1\times A_2)\cdot R$  and dim  $q(H)\leq 2$ . If  $R\neq \{e\}$ , then  $R\subset H$ . So by the reason of irreducibility we have  $G=A_1\times A_2$ . Then  $H^o=[T_1,T_1]\times [T_2,T_2]$ , where  $T_i$  is a maximal triangular subgroup of  $A_i$  for i=1,2, and  $N_G(H^o)=z(A_1)T_1\times z(A_2)$ . Since  $\pi_1(G/H)=H/H^o$  and  $H\subset N_G(H^o)$ ,  $H/H^o\subset N_G(H^o)/H^o=z(A_1)\mathbf{R}\times z(A_2)\mathbf{R}$  and so  $\pi_1(G/H)=\mathbf{Z}^4$ .

Let  $H_1 = H \cdot N_G(H^o)^o$ . Since  $H \subset H_1 \subset N_G(H^o)$  and  $H_1^o = N_G(H^o)^o = T_1 \times T_2$ , it follows that  $H_1^o$  is a closed subgroup of G, while by the construction  $H_1^o$  is transitive on  $H_1/H$ . We

consider the fibration and the corresponding exact sequence of the fundamental group;

$$H_1/H \to G/H \to G/H_1$$

$$1 \to \pi_1(H_1/H) \to \pi_1(G/H) \to \pi_1(G/H_1) \to 1.$$

Let  $K_i$  be a subgroup of  $A_i$  whose Lie algebra is maximal and compact. Since  $K = K_1 \times K_2$  is an abelian subgroup of G and is transitive on the compact base space  $G/H_1$ ,  $G/H_1$  is a 2 dimensional torus. With respect to the fiber  $H_1/H_1$ ,

$$H_1/H = H_1^o/H_1^o \cap H = S^1 \times S^1.$$

However  $K \subset G$  is transitive on  $G/H_1$  and  $K \cap H_1 \subset z(G) \cap H$ , because  $K \cap H_1 \subset N_G(H^o)$ . So the diffeomorphism of the fiber ,which is induced by an inner automorphism by the element of  $K \cap H_1$ , is trivial and hence, applying Proposition 1, this fibration is trivial over the loops  $S^1$  of the base. Thus G/H is 4 dimensional torus([8]).

(2) Case of p = 1

In this case we have  $G = A \cdot R$  and further the following two subcases (i) and (ii) because dim  $q(H) \le 1$ .

(i) dim q(H) = 0 and  $H^o \subset R$ , codim  $_RH^o = 1$ .

Claim 1. R is abelian and if we write  $G = A \times_{\varphi} \mathbf{R}^n$ , then  $\varphi$  is an irreducible representation.

If R is nonabelian, then either  $[R,R]\cdot H=R$  or  $[R,R]\subset H$ . If  $[R,R]\cdot H=R$ , then  $G'=A\times_{\sigma}[R,R]$  is transitive on M, which contradicts an irreducibility of G on M. If  $[R,R]\subset H$ , then this contradicts a local effectiveness of the action of G on M. Thus R is abelian.

Assume  $\varphi$  is reducible. Let  $R=V_1+V_2$  be a direct sum decomposition of two  $\varphi$  invariant subspaces of R,  $V_i \neq \{0\}$  for i=1,2. Then either  $V_1+H^o=R$  or  $V_2+H^o=R$  and so  $A\times_{\varphi}V_1$  or  $A\times_{\varphi}V_2$  is transitive on M. This contradicts an irreducibility of G on M. Thus  $\varphi$  is irreducible.

Claim 2. \(\varphi\) is unimodular.

We put  $F = N_G(H^o)^o$ . By Theorem 3 there exists a maximal connected triangular subgroup T of A such that  $T \cdot R \subset F$ . But this induces  $T \cdot R = F$  and  $F/H^o$  is a 3 dimensional solvable Lie group with a lattice  $H \cap F/H^o$ . This solvable Lie group has the following splitting

$$1 \longrightarrow [T, T] \cdot R/H^o \longrightarrow F/H^o \longrightarrow T/[T, T] \longrightarrow 1.$$

$$\parallel \qquad \qquad \parallel$$

$$\mathbf{R}^2 \qquad \qquad \mathbf{R}^1$$

We get that  $F/H^o = \mathbf{R}^1 \times_{\psi} \mathbf{R}^2$ , where  $\psi(t) = (Ad(t), \varphi(t))$ , Ad is an adjoint map of T on [T, T], for  $t \in T/[T, T]$ . By the reason of the unimodularity of  $\psi$  and ad,  $\varphi$  is unimodular.

By the above claims,  $\varphi$  coincides with the adjoint representation used in Proposition 2. Hence  $G = A \times_{ad} \mathbf{R}^3$  and  $G/H = M_{\alpha}$ .

(ii) dim q(H) = 1 and codim  $_RR \cap H = 2$ .

Claim 3. If  $G = A \cdot R$ , then R = N, where N is the nilradical of R.

Assume  $R \neq N$ . Let  $H_2 = H \cap R$  and then  $H_2 \cdot N \subset R$ . Since both cases  $N \subset H_2$  and  $H_2 \cdot N = R$  are impossible by the reason of the irreducibility of G on M, we have codim  $_R H_2 \cdot N = 1$ . Let  $p: R \to R/N$  be the natural projection. Since  $p(H_2 \cdot N) = H_2/H_2 \cap H$  has

codimension 1 in the abelian Lie group R/N, there exists a 1 dimensional subgroup V such that  $V \cdot p(H_2 \cdot N) = R/N$  and  $V \cap p(H_2 \cdot N) = \{e\}$ . Since A acts on R/N trivially,  $p^{-1}(V) \subset R$  is invariand with respect to A and hence  $G' = A \cdot p^{-1}(V)$  is a proper subgroup of G. Since L(G') + L(H) = L(G), G' is transitive on M, which contradicts the irrducibility of G on M. Thus R = N.

Claim 4. N is abelian.

Since  $T \cdot N$  is a maximal connected triangular subgroup of G, we have  $N_G(H^o)^o = T \cdot N$  by Theorem 3. Let  $C \subset T$  be a Cartan subgroup such that  $C \cdot [T, T] = T$  and  $C \cap [T, T] = \{e\}$ . Since dim q(H) = 1,  $q(H^o) = [T, T]$ . We have  $H \subset N_G(H^o) = z(A)T \cdot N$  and so  $H^o \subset T \cdot N$ . Then 3 dimensional solvable Lie group  $C \cdot N/H^o \cap C \cdot N$  is transitive on the compact solvmanifold  $T \cdot N/H^o \cap C \cdot N$  of dimension 3.  $C \cdot N/H^o \cap C \cdot N$  contains  $N/H_0$  as a normal subgroup of dimension 2. From the description of 3 dimensional solvable Lie groups having lattices ([1]),  $N/H_0$  is abelian and hence  $[N, N] \subset H_0$ . But assume  $[N, N] \neq \{e\}$ , this contradicts the local effectiveness of the action of G on M. Thus N is abelian.

Claim 5. If we write  $G = A \times_{\varphi} \mathbf{R}^n$ ,  $\varphi$  is trivial and so  $G = A \times \mathbf{R}^n$ .

Since T normalizes  $H^o$ ,  $\varphi$  induces a representation  $\tilde{\varphi}: T \to GL(\mathbf{R}^n/H_2^o)$ , which is trivial. In fact  $\mathbf{R}^n$  is abelian and  $T \cdot \mathbf{R}^n/H^o$  is 3 dimensional solvable group and so  $[T, \mathbf{R}^n] \subset H_2$ . Let  $V_1 \subset \mathbf{R}^n$  be the space of the fixed elements of  $\varphi$  and  $V_2$  its invariant complement. From the triviality of  $\tilde{\varphi}$  it follows that  $V_2 \subset H_2$ . Assume  $V_2 \neq \{0\}$ . Then the subgroup  $G' = A \times_{\varphi} V_1$  is a proper subgroup of G and transitive on M, which contradicts the irreducibility of G on M. Thus  $V_1 = \mathbf{R}^n$  and so  $\varphi$  is trivial.

By the same argument as in case (1), M = G/H is diffeomorphic to a 4 dimensional torus.

(3) Case of p = 0

In this case we have G=R and so G/H is a solvmanifold.

In all cases we proved that the fundamental group of G/H is solvable since the fundamental group of solvmanifold is solvable. This completed the proof of Theorem.

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