

# An intrinsic characterization of the unit polydisc

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## An Intrinsic Characterization of the Unit Polydisc

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### 1. Introduction

Let  $M$  be a connected complex manifold and  $\text{Aut}(M)$  the group of all biholomorphic automorphisms of  $M$ . Then, equipped with the compact-open topology,  $\text{Aut}(M)$  is a topological group acting continuously on  $M$ .

In 1907 it was shown by Poincaré [15] that the Riemann mapping theorem does not hold in the higher-dimensional case. In fact, he proved that *there exists no biholomorphic mapping from the unit polydisc  $\Delta^2$  onto the unit ball  $B^2$  in  $\mathbb{C}^2$*  by comparing carefully the topological structures of the isotropy subgroups of  $\text{Aut}(\Delta^2)$  and  $\text{Aut}(B^2)$  at the origin  $o$  of  $\mathbb{C}^2$ . In view of this fact, for a given complex manifold  $M$  it is an interesting problem to bring out some complex analytic nature of  $M$  under some topological conditions on  $\text{Aut}(M)$ .

In connection with this problem, in this paper we would like to study the following question.

**QUESTION.** Let  $M$  and  $N$  be connected complex manifolds and assume that their holomorphic automorphism groups  $\text{Aut}(M)$  and  $\text{Aut}(N)$  are isomorphic as topological groups. Then, is  $M$  biholomorphically equivalent to  $N$ ?

Recall that there exist relatively compact strictly pseudoconvex domains  $D_t$  ( $t \in \mathbb{R}$ ) in a complex manifold  $X$  such that  $D_s$  is not biholomorphically equivalent to  $D_t$  unless  $s = t$ , and further, the only holomorphic automorphism of  $D_t$  is the identity for every  $t$  (see [3]). Thus, the answer to our question is negative, in general. However, there already exist several articles solving this question affirmatively in the case where the manifolds  $M$  or  $N$  are some special domains in  $\mathbb{C}^n$  (see e.g. [4; 5; 6; 10; 11]). In particular, as an application of the classification theorem obtained by Isaev and Kruzhilin [6] for complex manifolds of dimension  $n$  admitting effective actions of the unitary group  $U(n)$ , Isaev [5] showed that *if the holomorphic automorphism group  $\text{Aut}(M)$  of a connected complex manifold  $M$  of dimension  $n$  is isomorphic to the holomorphic automorphism group  $\text{Aut}(B^n)$  of the unit ball  $B^n$  in  $\mathbb{C}^n$  as topological groups, then  $M$  is biholomorphically equivalent to  $B^n$* . In view of this, it would naturally be expected that exactly the same conclusion is

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valid also for the unit polydisc  $\Delta^n$  in  $\mathbb{C}^n$ . This cannot be clarified in full generality at the moment. However, under some suitable condition on the manifold  $M$ , we can establish the following intrinsic characterization of the unit polydisc as our main result in this paper.

**THEOREM.** *Let  $M$  be a connected complex manifold of dimension  $n$  that is holomorphically separable and admits a smooth envelope of holomorphy. Assume that  $\text{Aut}(M)$  is isomorphic to  $\text{Aut}(\Delta^n)$  as topological groups. Then  $M$  is biholomorphically equivalent to  $\Delta^n$ .*

Let  $D$  be an arbitrary domain in  $\mathbb{C}^n$ . Then it is well known that  $D$  admits a smooth envelope of holomorphy (cf. [13, Chaps. 6 and 7]). Hence, as an immediate consequence of the theorem, we obtain the following.

**COROLLARY.** *Let  $M$  be a connected Stein manifold of dimension  $n$  or a domain in  $\mathbb{C}^n$ . Assume that  $\text{Aut}(M)$  is isomorphic to  $\text{Aut}(\Delta^n)$  as topological groups. Then  $M$  is biholomorphically equivalent to  $\Delta^n$ .*

Our proof of the theorem is based on three main facts: a well-known fact (due to Barrett, Bedford, and Dadok [1]) concerning torus actions on complex manifolds; an important fact (observed by Nakajima [12]) regarding homogeneous hyperbolic manifolds; and a fact (due to Kodama [9]) about the relationship between boundedness and hyperbolicity in the category of Reinhardt (more generally, circular) domains in  $\mathbb{C}^n$ . After recalling these facts as well as the structure of  $\text{Aut}(\Delta^n)$  in Section 2, we prove our theorem in Section 3.

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## 2. Preliminaries

For later purposes we collect some known facts in this section.

Let us start with recalling the structure of  $\text{Aut}(\Delta^n)$ . We fix a coordinate system  $z = (z_1, \dots, z_n)$  in  $\mathbb{C}^n$  and set

$$\Delta_j = \{z_j \in \mathbb{C} \mid |z_j| < 1\} \quad (1 \leq j \leq n) \quad \text{and} \quad \Delta^n = \Delta_1 \times \cdots \times \Delta_n.$$

Then  $\text{Aut}(\Delta_j)$  is a connected, real simple Lie group of dimension 3 with trivial center and  $\text{Aut}(\Delta^n)$  is a real semi-simple Lie group of dimension  $3n$ . Since each element of  $\text{Aut}(\Delta_j)$  can be uniquely extended to an element of  $\text{Aut}(\Delta^n)$  in a trivial manner, we shall often regard  $\text{Aut}(\Delta_j)$  as a closed Lie subgroup of  $\text{Aut}(\Delta^n)$ . Moreover, if we denote by  $\text{Aut}^o(\Delta^n)$  the identity component of  $\text{Aut}(\Delta^n)$ , then we know that  $\text{Aut}^o(\Delta^n)$  can be identified with the direct product of  $\text{Aut}(\Delta_j)$ :

$$\text{Aut}^o(\Delta^n) = \text{Aut}(\Delta_1) \times \cdots \times \text{Aut}(\Delta_n). \quad (2.1)$$

Let  $\mathfrak{g}(\Delta_j)$  and  $\mathfrak{g}(\Delta^n)$  be the real Lie algebras consisting of all complete holomorphic vector fields on  $\Delta_j$  and on  $\Delta^n$ , respectively. Then it is well known that the

Lie algebras  $\mathfrak{g}(\Delta_j)$  and  $\mathfrak{g}(\Delta^n)$  are canonically identified with the Lie algebras of  $\text{Aut}(\Delta_j)$  and  $\text{Aut}(\Delta^n)$ , respectively. This combined with (2.1) yields that

$$\mathfrak{g}(\Delta^n) = \mathfrak{g}(\Delta_1) \oplus \cdots \oplus \mathfrak{g}(\Delta_n),$$

$$[\mathfrak{g}(\Delta_i), \mathfrak{g}(\Delta_j)] = \{0\} \quad \text{for } 1 \leq i, j \leq n, i \neq j. \quad (2.2)$$

Now let us consider the 1-parameter subgroups  $\{\phi_t^j\}_{t \in \mathbb{R}}$  and  $\{\psi_t^j\}_{t \in \mathbb{R}}$  of  $\text{Aut}(\Delta_j)$  for  $1 \leq j \leq n$  given by

$$\phi_t^j: z_j \mapsto (\exp \sqrt{-1}t)z_j \quad \text{for } t \in \mathbb{R},$$

$$\psi_t^j: z_j \mapsto \frac{(\cosh t)z_j + \sinh t}{(\sinh t)z_j + \cosh t} \quad \text{for } t \in \mathbb{R}.$$

It is easily seen that these 1-parameter groups induce the complete holomorphic vector fields

$$H_j := \sqrt{-1}z_j \frac{\partial}{\partial z_j} \quad \text{and} \quad V_j := (1 - z_j^2) \frac{\partial}{\partial z_j}$$

on  $\Delta_j$  (and hence on  $\Delta^n$ ), respectively. Put  $W_j = [H_j, V_j]$ . Then, elementary calculations show that

$$\mathfrak{g}(\Delta_j) = \mathbb{R}\{H_j, V_j, W_j\} \quad \text{and} \quad [H_j, [H_j, V_j]] = -V_j, \quad [W_j, V_j] = 4H_j \quad (2.3)$$

for  $1 \leq j \leq n$ . These bracket relations will be important in the next section.

Next we consider an arbitrary connected complex manifold  $M$  and a Lie group  $G$ . When a continuous group homomorphism  $\rho: G \rightarrow \text{Aut}(M)$  of  $G$  into  $\text{Aut}(M)$  is given, the mapping

$$G \times M \ni (g, p) \mapsto (\rho(g))(p) \in M$$

is necessarily of class  $C^\omega$  by [2], and we say that  $G$  acts on  $M$  as a Lie transformation group through  $\rho$ . Also, the action of  $G$  on  $M$  is called *effective* if  $\rho$  is injective. Let  $T^n = (U(1))^n$  be the  $n$ -dimensional torus, where  $U(1)$  denotes the multiplicative group of complex numbers with absolute value 1. Then  $T^n$  acts as a group of holomorphic automorphisms on  $\mathbb{C}^n$  by the standard rule

$$\alpha \cdot z = (\alpha_1 z_1, \dots, \alpha_n z_n) \quad \text{for } \alpha = (\alpha_1, \dots, \alpha_n) \in T^n, \quad z = (z_1, \dots, z_n) \in \mathbb{C}^n.$$

By definition, a *Reinhardt domain*  $D$  in  $\mathbb{C}^n$  is a domain in  $\mathbb{C}^n$  that is stable under this action of  $T^n$ . Moreover, it is said to be *complete* if  $(z_1, \dots, z_n) \in D$ ,  $w = (w_1, \dots, w_n) \in \mathbb{C}^n$ , and  $|w_j| \leq |z_j|$  ( $1 \leq j \leq n$ ) imply that  $w \in D$ . Now let  $D$  be an arbitrary Reinhardt domain in  $\mathbb{C}^n$ . Then each element  $\alpha$  of  $T^n$  induces an automorphism  $\pi_\alpha$  of  $D$  given by  $\pi_\alpha(z) = \alpha \cdot z$ , and the mapping  $\rho_D$  sending  $\alpha$  to  $\pi_\alpha$  is an injective continuous group homomorphism of the torus  $T^n$  into the topological group  $\text{Aut}(D)$ . The subgroup  $\rho_D(T^n)$  of  $\text{Aut}(D)$  is denoted by  $T(D)$ .

Finally, we recall the following three theorems, which will play crucial roles in our proof of the theorem.

**THEOREM A** [1]. *Let  $M$  be a connected complex manifold of dimension  $n$  that is holomorphically separable and admits a smooth envelope of holomorphy. Assume*

that  $T^n$  acts effectively on  $M$  as a Lie transformation group through  $\rho$ . Then there exist a biholomorphic mapping  $F$  of  $M$  into  $\mathbb{C}^n$  and a continuous group automorphism  $\theta$  of the torus  $T^n$  such that

$$F((\rho(\alpha))(p)) = \theta(\alpha) \cdot F(p) \quad \text{for all } \alpha \in T^n \text{ and all } p \in M.$$

Consequently,  $D := F(M)$  is a Reinhardt domain in  $\mathbb{C}^n$ , and one has  $F\rho(T^n)F^{-1} = T(D)$ .

**THEOREM B** [12]. *Let  $M$  be a connected hyperbolic manifold in the sense of Kobayashi [8] of dimension  $n$ . Assume that  $M$  is homogeneous—that is, assume  $\text{Aut}(M)$  acts transitively on  $M$ . Then  $M$  is biholomorphically equivalent to a Siegel domain in  $\mathbb{C}^n$ . In particular,  $M$  is simply connected.*

**THEOREM C** ([9]; cf. [7, Thm. 7.1.2]). *Let  $M$  be a complete Reinhardt domain in  $\mathbb{C}^n$ . Then  $M$  is hyperbolic if and only if it is literally a bounded domain in  $\mathbb{C}^n$ .*

### 3. Proof of the Theorem

By Theorem A we may assume that  $M$  is a Reinhardt domain  $D$  in  $\mathbb{C}^n$  and that there exists a topological group isomorphism  $\Phi: \text{Aut}(\Delta^n) \rightarrow \text{Aut}(D)$  such that  $\Phi(T(\Delta^n)) = T(D)$ .

Now, the group  $\text{Aut}(D)$  can be turned into a Lie group simply by transferring the Lie group structure from  $\text{Aut}(\Delta^n)$  by means of  $\Phi$ . We here assert that the Lie algebra of  $\text{Aut}(D)$  with respect to the Lie group structure defined in this way coincides with the algebra  $\mathfrak{g}$  of all complete holomorphic vector fields on  $D$ . Indeed, the Lie group  $\text{Aut}(D)$  endowed with the compact-open topology acts continuously on  $D$ . Hence, by [2], the action is smooth with respect to the Lie group structure induced from  $\text{Aut}(\Delta^n)$ . Furthermore,  $\text{Aut}(D)$  has only finitely many connected components, since  $\text{Aut}(\Delta^n)$  does. Then, by Theorem VI in [14, p. 101], the group  $\text{Aut}(D)$  is a Lie transformation group of  $D$  in the sense of Definition V in [14, p. 101]; consequently, the Lie algebra of  $\text{Aut}(D)$  coincides with the Lie algebra  $\mathfrak{g}$  (cf. [14, p. 103, Thm. VII]), as asserted. We thus obtain the Lie algebra isomorphism  $d\Phi: \mathfrak{g}(\Delta^n) \rightarrow \mathfrak{g}$  induced by  $\Phi$ . Put

$$\begin{aligned} G &= \Phi(\text{Aut}^o(\Delta^n)), & G_j &= \Phi(\text{Aut}(\Delta_j)), & \mathfrak{g}_j &= d\Phi(\mathfrak{g}(\Delta_j)), \\ I_j &= d\Phi(H_j), & X_j &= d\Phi(V_j), & Y_j &= d\Phi(W_j) \end{aligned}$$

for  $1 \leq j \leq n$ . Then  $G = \text{Aut}^o(D)$ , the identity component of  $\text{Aut}(D)$ , and  $G_j$  is a 3-dimensional simple Lie group with Lie algebra  $\mathfrak{g}_j$  for each  $j$ . Moreover, by (2.1)–(2.3) we have

$$G = G_1 \times \cdots \times G_n; \tag{3.1}$$

$$\mathfrak{g} = \mathfrak{g}_1 \oplus \cdots \oplus \mathfrak{g}_n, \quad [\mathfrak{g}_i, \mathfrak{g}_j] = \{0\} \text{ for } 1 \leq i, j \leq n, i \neq j; \tag{3.2}$$

$$\mathfrak{g}_j = \mathbb{R}\{I_j, X_j, Y_j\} \quad \text{and} \quad [I_j, [I_j, X_j]] = -X_j, \quad [Y_j, X_j] = 4I_j \tag{3.3}$$

for every  $1 \leq j \leq n$ .

Now we identify the tori  $T(\Delta^n)$  and  $T(D)$  naturally with  $T^n$ . Then, since the Lie group isomorphism  $\Phi: \text{Aut}(\Delta^n) \rightarrow \text{Aut}(D)$  satisfies  $\Phi(T^n) = T^n$ , there exists an element  $(p_{ij})$  of  $\text{GL}(n, \mathbb{Z})$  such that

$$\begin{aligned} & \Phi((\exp 2\pi\sqrt{-1}\theta_1, \dots, \exp 2\pi\sqrt{-1}\theta_n)) \\ &= \left( \exp 2\pi\sqrt{-1} \left( \sum_{j=1}^n p_{1j}\theta_j \right), \dots, \exp 2\pi\sqrt{-1} \left( \sum_{j=1}^n p_{nj}\theta_j \right) \right) \end{aligned}$$

for all  $\theta_1, \dots, \theta_n \in \mathbb{R}$ . Accordingly, after noting that the complete holomorphic vector field  $I_j$  is induced by the 1-parameter subgroup  $\{\Phi(\phi_t^j)\}_{t \in \mathbb{R}}$  of  $T^n \subset \text{Aut}(D)$ , we can see that  $I_j$  has the form

$$I_j = \sqrt{-1} \sum_{i=1}^n (p_{ij}z_i) \frac{\partial}{\partial z_i} \quad \text{for } 1 \leq j \leq n.$$

From now on, we set

$$D^* = \{(z_1, \dots, z_n) \in D \mid z_1 \cdots z_n \neq 0\} = D \cap (\mathbb{C}^*)^n.$$

Then we have the following lemma.

**LEMMA 1.** *For every point  $p \in D^*$ , there exists a local holomorphic coordinate system  $(U, \varphi) = (U, w_1, \dots, w_n)$  on  $D^*$ , centered at  $p$ , such that  $I_j = \partial/\partial w_j$  on  $U$  for every  $1 \leq j \leq n$ .*

*Proof.* Consider the holomorphic mapping

$$\varpi: \mathbb{C}^n \ni (w_1, \dots, w_n) \mapsto (z_1, \dots, z_n) \in (\mathbb{C}^*)^n$$

defined by

$$z_i = \exp \sqrt{-1} \left( \sum_{j=1}^n p_{ij}w_j \right) \quad \text{for } 1 \leq i \leq n.$$

Then  $\varpi$  is a local biholomorphic (in fact, the universal covering) mapping from  $\mathbb{C}^n$  onto  $(\mathbb{C}^*)^n$ , and each vector field  $I_j$  restricted to  $D^*$  can be locally expressed as  $I_j = \partial/\partial w_j$  with respect to  $(w_1, \dots, w_n)$ . From this we obtain the assertion of the lemma.  $\square$

Without loss of generality, we may assume that  $\varphi(U)$  is a polydisc.

**LEMMA 2.** *With respect to the local coordinate system  $(U, w_1, \dots, w_n)$  as in Lemma 1, the vector fields  $X_j, Y_j$  ( $1 \leq j \leq n$ ) can be written in the form*

$$\begin{aligned} X_j &= \{a_j \exp(\sqrt{-1}w_j) + b_j \exp(-\sqrt{-1}w_j)\} \frac{\partial}{\partial w_j}, \\ Y_j &= \sqrt{-1} \{a_j \exp(\sqrt{-1}w_j) - b_j \exp(-\sqrt{-1}w_j)\} \frac{\partial}{\partial w_j} \end{aligned}$$

on  $U$ , where  $a_j, b_j$  are some complex constants with  $a_j b_j = 1$ .

*Proof.* Let us write  $X_j = \sum_{k=1}^n f_k^j(w) \partial / \partial w_k$  on  $U$  with holomorphic functions  $f_k^j(w)$  on  $U$ . Then, since  $[\mathfrak{g}_i, \mathfrak{g}_j] = \{0\}$  for all  $1 \leq i, j \leq n$  with  $i \neq j$ , we have

$$\sum_{k=1}^n \frac{\partial f_k^j(w)}{\partial w_i} \frac{\partial}{\partial w_k} = [I_i, X_j] = 0 \quad \text{on } U \quad \text{for all } i \neq j.$$

Hence  $f_k^j(w)$  does not depend on the variables  $w_i$  for all  $1 \leq i \leq n$  with  $i \neq j$ , so  $f_k^j(w)$  has the form  $f_k^j(w) = f_k^j(w_j)$ . It then follows from the first bracket relation in (3.3) that

$$\sum_{k=1}^n \frac{d^2 f_k^j(w_j)}{dw_j^2} \frac{\partial}{\partial w_k} = - \sum_{k=1}^n f_k^j(w_j) \frac{\partial}{\partial w_k} \quad \text{on } U.$$

Therefore, the holomorphic functions  $f_k^j(w_j)$  can be expressed as

$$f_k^j(w_j) = a_k^j \exp(\sqrt{-1}w_j) + b_k^j \exp(-\sqrt{-1}w_j) \quad \text{on } U \quad (3.4)$$

with some complex constants  $a_k^j, b_k^j$ ; accordingly,  $X_j, Y_j$  have the form

$$X_j = \sum_{k=1}^n \{a_k^j \exp(\sqrt{-1}w_j) + b_k^j \exp(-\sqrt{-1}w_j)\} \frac{\partial}{\partial w_k}, \quad (3.5)$$

$$Y_j = \sqrt{-1} \sum_{k=1}^n \{a_k^j \exp(\sqrt{-1}w_j) - b_k^j \exp(-\sqrt{-1}w_j)\} \frac{\partial}{\partial w_k} \quad (3.6)$$

for  $1 \leq j \leq n$ . By routine computations, it then follows that

$$[Y_j, X_j] = \sum_{k=1}^n 2(a_j^j b_k^j + b_j^j a_k^j) \frac{\partial}{\partial w_k} \quad \text{on } U.$$

This together with  $[Y_j, X_j] = 4I_j$  from (3.3) shows that

$$a_j^j b_j^j = 1 \quad \text{and} \quad a_j^j b_k^j + b_j^j a_k^j = 0 \quad \text{for all } 1 \leq j, k \leq n, \quad j \neq k. \quad (3.7)$$

Once it is shown that  $a_k^j = 0$  for all  $1 \leq j, k \leq n$  with  $j \neq k$ , then  $b_k^j = 0$  by (3.7); hence  $X_j, Y_j$  have the form required in the lemma. Thus we need only show that  $a_k^j = 0$  if  $j \neq k$ . Toward this end, observe that

$$\begin{aligned} [X_j, X_k] &= \sum_{m \neq j, k} \left\{ f_k^j(w_j) \frac{df_m^k(w_k)}{dw_k} - f_j^k(w_k) \frac{df_m^j(w_j)}{dw_j} \right\} \frac{\partial}{\partial w_m} \\ &\quad + \left\{ f_k^j(w_j) \frac{df_j^k(w_k)}{dw_k} - f_j^k(w_k) \frac{df_j^j(w_j)}{dw_j} \right\} \frac{\partial}{\partial w_j} \\ &\quad - \left\{ f_j^k(w_k) \frac{df_k^j(w_j)}{dw_j} - f_k^j(w_j) \frac{df_k^k(w_k)}{dw_k} \right\} \frac{\partial}{\partial w_k} \end{aligned}$$

and  $[X_j, X_k] = 0$  on  $U$  for all  $j \neq k$  by (3.2). Thus, expressing the functions  $f_\beta^\alpha(w_\alpha)$  as in (3.4) and comparing the coefficients of  $\partial / \partial w_k$  in both sides of the equality  $[X_j, X_k] = 0$ , we obtain

$$\begin{aligned}
 & a_k^j(a_j^k - a_k^k) \exp\{\sqrt{-1}(w_k + w_j)\} \\
 & + (b_j^j)^2 a_k^j(a_j^k + a_k^k) \exp\{\sqrt{-1}(w_k - w_j)\} \\
 & - (b_k^k)^2 a_k^j(a_j^k - a_k^k) \exp\{\sqrt{-1}(w_j - w_k)\} \\
 & - (b_j^j b_k^k)^2 a_k^j(a_j^k + a_k^k) \exp\{-\sqrt{-1}(w_k + w_j)\} = 0 \text{ on } U.
 \end{aligned}$$

Combined with  $a_k^j b_j^j b_k^k \neq 0$  from (3.7), this yields that

$$a_k^j(a_j^k - a_k^k) = 0, \quad a_k^j(a_j^k + a_k^k) = 0$$

and, accordingly,  $a_k^j = 0$  for all  $1 \leq j, k \leq n$  with  $j \neq k$ , as desired.  $\square$

With the same notation as in Lemma 2, we define a subset  $\mathcal{A}$  of  $U$  by setting

$$\mathcal{A} = \left\{ w \in U \mid \prod_{j=1}^n \Im\{a_j \exp(\sqrt{-1}w_j) + b_j \exp(-\sqrt{-1}w_j)\} = 0 \right\},$$

where  $\Im\{\cdot\}$  means the imaginary part of  $\cdot$ . Clearly  $\mathcal{A}$  is a nowhere dense real analytic subset of  $U$ .

Choose a point  $p \in U \setminus \mathcal{A}$  arbitrarily and let  $(\mathfrak{g}_j)_p$  and  $\mathfrak{g}_p$  be the subspaces in the tangent space to  $D$  at  $p$  that consist of the values of the elements of  $\mathfrak{g}_j$  and  $\mathfrak{g}$  (respectively) at  $p$ . Then Lemma 2 guarantees that, for every  $1 \leq j \leq n$ ,

$$(\mathfrak{g}_j)_p = \mathbb{R}\{(I_j)_p, (X_j)_p, (Y_j)_p\} = \mathbb{C}\left\{\left(\frac{\partial}{\partial w_j}\right)_p\right\} \quad (3.8)$$

and consequently

$$\mathfrak{g}_p = \mathbb{C}\left\{\left(\frac{\partial}{\partial w_1}\right)_p\right\} \oplus \cdots \oplus \mathbb{C}\left\{\left(\frac{\partial}{\partial w_n}\right)_p\right\}. \quad (3.9)$$

Therefore, denoting by  $K, K_j$  the isotropy subgroups of  $G, G_j$  (respectively) at the point  $p$  and considering the orbits

$$D_p := G \cdot p = G/K, \quad S_j := G_j \cdot p = G_j/K_j \quad (1 \leq j \leq n)$$

of  $G, G_j$  passing through  $p$ , one concludes that every  $S_j$  is a 1-dimensional complex submanifold of  $D$  and  $D_p$  is a nonempty open subset of  $D$ . Here it should be remarked that the  $S_j$  may a priori be nonclosed submanifolds of  $D$  and that the topology of  $S_j$  may a priori differ from that induced from  $D$ . Moreover, notice that  $D_p$  is a Reinhardt domain in  $\mathbb{C}^n$  because  $G$  is connected and contains the torus  $T(D) = T^n$ .

**LEMMA 3.** *Every  $S_j$  is biholomorphically equivalent to the unit disc  $\Delta$  in  $\mathbb{C}$ .*

*Proof.* Once it is shown that the universal covering  $\tilde{S}_j$  of  $S_j$  is the unit disc  $\Delta$ , then  $S_j$  is a homogeneous hyperbolic Riemann surface and hence is biholomorphically equivalent to  $\Delta$ . Thus we need only show that  $\tilde{S}_j = \Delta$ . Clearly  $S_j$  is noncompact in  $D$ ; consequently,  $\tilde{S}_j = \Delta$  or  $\mathbb{C}$ . Assume that  $\tilde{S}_j = \mathbb{C}$ . Since it is obvious



that  $G_j$  acts effectively on  $S_j$  by biholomorphic transformations, it follows that  $\dim \operatorname{Aut}(S_j) \geq 3$ . Therefore,  $S_j$  itself must be biholomorphically equivalent to  $\mathbb{C}$ . On the other hand, every 3-dimensional subgroup of  $\operatorname{Aut}(\mathbb{C})$  that acts transitively on  $\mathbb{C}$  contains the group of translations and is therefore not simple. However, since the group  $G_j$  is simple, this is a contradiction. As a result, we have shown that  $\tilde{S}_j = \Delta$  as desired.  $\square$

By Lemma 3 we see that the isotropy subgroup  $K_j$  of  $G_j$  at  $p$  is a maximal compact subgroup of  $G_j$  of dimension 1.

**LEMMA 4.** *The subdomain  $D_p = G \cdot p$  of  $D$  is biholomorphically equivalent to the unit polydisc  $\Delta^n$  in  $\mathbb{C}^n$ . In particular,  $D_p$  is a hyperbolic pseudoconvex Reinhardt domain in  $\mathbb{C}^n$ .*

*Proof.* Define the mapping

$$\pi: S_1 \times \cdots \times S_n \rightarrow D_p$$

by setting  $\pi(z_1, \dots, z_n) = g_1 \cdots g_n \cdot p$ , where  $z_j = g_j \cdot p = g_j K_j$  are arbitrary elements of  $S_j = G_j \cdot p = G_j/K_j$  for  $1 \leq j \leq n$ . Observe that the identity component of  $K$  coincides with  $K_1 \times \cdots \times K_n$ . Then it can easily be seen that  $\pi$  is a well-defined holomorphic covering mapping. This combined with Lemma 3 implies that  $D_p = G/K$  is a homogeneous hyperbolic manifold; therefore, by Theorem B, it must be simply connected. Thus  $\pi$  is now a biholomorphic mapping and our assertion in Lemma 4 is an immediate consequence of Lemma 3.  $\square$

By Lemma 4 we see that  $K = K_1 \times \cdots \times K_n$  and that  $K$  is a maximal compact subgroup of  $G$  conjugate to  $T(D) = T^n$ .

We can now prove our main theorem from Section 1. First we claim that  $D_p$  is a bounded domain in  $\mathbb{C}^n$  or, equivalently, that the topological closure  $\bar{D}_p$  of  $D_p$  in  $\mathbb{C}^n$  is a compact subset of  $\mathbb{C}^n$ . Indeed, since  $D_p$  is a contractible pseudoconvex Reinhardt domain by Lemma 4, we can see that

$$D_p \cap \{z_i = 0\} \neq \emptyset \quad \text{for every } 1 \leq i \leq n;$$

accordingly, it must be a complete Reinhardt domain. Moreover, by Lemma 4 we know that  $D_p$  is hyperbolic. Hence  $D_p$  is a bounded domain in  $\mathbb{C}^n$  by Theorem C, as claimed.

Our next task is to show that  $D^* \subset \bar{D}_p$ . We argue by contradiction, so we assume that there exists a point  $q \in D^* \setminus \bar{D}_p$ . Then, by taking a suitable nearby point if necessary, we may assume that the point  $q$  satisfies the same conditions as in (3.8) and (3.9). By repeating exactly the same argument as before, it can be shown that the orbit  $D_q = G \cdot q$  of  $G$  passing through  $q$  is a complete bounded Reinhardt domain in  $\mathbb{C}^n$ . In particular, both the domains  $D_p$  and  $D_q$  contain the origin  $o$  of  $\mathbb{C}^n$  and hence  $D_p \cap D_q \neq \emptyset$ . However, since  $q \notin \bar{D}_p = G \cdot p$ , it is clear that  $D_p \cap D_q = \emptyset$ —a contradiction. Thus we have shown that  $D^* \subset \bar{D}_p$ .

We shall complete the proof by showing that  $D = D_p$ . Since  $D^*$  is an open dense subset of  $D$  and since  $D^*$  is contained in the compact set  $\bar{D}_p$  as before,  $D$  itself must be a bounded domain in  $\mathbb{C}^n$ . Consequently,  $D = D_p$ , because  $D$  is now

a hyperbolic manifold and hence  $\text{Aut}(D)$ , as well as  $\text{Aut}^o(D) = G$ , acts on  $D$  with closed orbits (cf. [8; Chap. V]). Therefore,  $D$  is biholomorphically equivalent to the unit polydisc  $\Delta^n$  by Lemma 4, completing the proof.

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