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Coupled Finite-Element/Boundary-Element Analysis of a Reciprocating Self-Excited Induction Generator in a Harmonic Domain

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This paper suggests a general method for analysis of a reciprocating self-excited induction generator based on the coupled finite-element/boundary-element method in a harmonic domain. The finite-element method is used for iron and copper parts in order to deal with nonlinearity and eddy currents, while the boundary-element method is utilized for the air-gap region between the moving parts using a free-space Green function that facilitates the application of a linear time periodic movement. The proposed method leads to a static global matrix that is symmetrical for particular boundary conditions. The results agree well with those obtained by the time-stepping methods.

Index Terms—Coupled finite-element/boundary-element method, harmonic balance method, reciprocating self-excited induction generator.

I. INTRODUCTION

INDUCTION generators are inexpensive and have no separate excitation; they can operate for a long period with no particular maintenance. Therefore, self-excited induction generators (SEIGs) can supply electrical power of an area far from the power system transmission lines and where nonconventional energies such as wind energy are available. However, SEIGs have their own disadvantages, including large dependency of the output voltage on the generator speed and load and the stator terminal capacitance requirement, which requires accounting for the speed and load variations. The models that are used for analysis of the SEIG are classified into two major groups. In the first group, a per phase equivalent circuit is utilized; in this case, the nodal-admittance method or loop-impedance method is used to establish the relationships of the machine-related parameters such as load, speed, and capacitance [1]. The second group uses the dq model; other equations, expressing the dependency between the steady-state parameters of the machine, are obtained using the harmonic balance method [2], [3]. In the above-mentioned methods, an attempt has been made to solve a nonlinear equation by an iterative procedure, where the experimental magnetization inductance versus magnetization current are available.

In this paper, a reciprocating SEIG with tubular structure, as shown in Fig. 1, is proposed. The SEIG is applicable in a free-piston generator system that is a combination of a linear engine and linear alternator. It is used in hybrid vehicles because this combination is compact, light, and very reliable [4], [5]. It has d and q windings, shunt exciting capacitances \( C_{q}, C_{d} \), and resistive loads. Its model is nonlinear because of magnetic saturation, longitudinal end-effect, and unbalanced winding distribution that make the model difficult to deal with by analytical methods; thus, numerical methods are employed for its analysis. A transverse edge effect does not exist due to the cylindrical construction.

This paper uses the coupled finite-element/boundary-element (FE–BE) method in harmonic domain for modeling a reciprocating SEIG [6]. Iron and copper parts of the generator are modeled by the FE method; therefore, the nonlinearity and eddy currents are taken into account. The air-gap region between the moving parts is modeled by the BE method using the free-space Green function. This method is especially suitable when a linear motion is involved in the electromagnetic devices to uncouple the moving and the stationary meshes. Here, the method is extended to the time periodic movement. For the particular case of a two-dimensional (2-D) coupled FE–BE model of a linear machine, it only requires elementary manipulations of the Green function and its normal derivative over a fundamental period. In this manner, the SEIG model equations using time-stepping numerical methods is converted to the matrix form of the static coupled FE–BE equations, where they are used to obtain the state variables of the steady-state operation.

The proposed method leads to a static global matrix that is symmetrical for particular boundary conditions. However, this symmetry does not hold, in general, for the BE method. The results agree well with those obtained by time-stepping coupled FE–BE and FE methods.

II. COUPLED FE–BE METHOD IN HARMONIC DOMAIN WITH MOVEMENT

A. FE Regions

The primary and secondary domains were meshed using three-node triangular finite elements as shown in Fig. 2. The
The exterior domain is broadened to lie outside the magnetic iron core and the electromotive forces and terminal voltages of the windings are incorporated using matrices \([C_{13}]\) and \([C_{13}^T]\) with a nodal method [8].

**B. BE Equations**

The BE method is applied to the air gap that connects the primary and secondary domains [7], [8], [10]. This linear region with constant magnetic permeability \(\mu_d(=1/t_b)\) is geometrically approximated by three-node boundary elements extracted from the primary and secondary element meshes. The contribution of all boundary elements to the magnetic field at a load point \(\xi = (r_s, z_n)\), in terms of the magnetic vector potential, is given by

\[
C(\xi)A_B(\xi) + \sum_{c=1}^{E} \sum_{m=1}^{M} A_{mb}^{nc} \int_{r} \frac{\partial G(\gamma, \xi)}{\partial n} \phi_m d\Gamma_{\gamma}
\]

\[
= \sum_{c=1}^{E} \left( \sum_{m=1}^{M} \frac{\partial A_{mb}^{nc}}{\partial n} \int_{r} G(\gamma, \xi) \phi_m d\Gamma_{\gamma} \right)
\]

\[
A_B^{nc}(\xi) = \sum_{m=1}^{M} A_{mb}^{nc} \phi_m(\xi)
\]

\[
\frac{\partial A_{mb}^{nc}(\xi)}{\partial n} = \sum_{m=1}^{M} \frac{\partial A_{mb}^{nc}}{\partial n} \phi_m(\xi)
\]

The matrix \([C_{11}]\) is the stiffness sparse symmetrical matrix, \([D_{11}]\) is the damping symmetrical matrix due to eddy currents, \([C_{12}]\) and \([C_{13}]\) are the matrices for taking into account the forcing current densities \(J_q\) and \(J_d\) in the primary windings, as shown in Fig. 1. \((C_{12} \cdot e - C_{13} \cdot u) = \{J\}\). \([C_{14}]\) takes the exterior domain into account, and \(e\) is obtained as differential expressions of \([A_F']\) using matrices \([C_{22}]\) and \([C_{12}^T]\). Circuit equations are obtained using the Galerkin method. If \(F\) denotes the finite element region, based on the equivalent circuits of Fig. 1, the coupled field-circuit matrix equation of the proposed reciprocating SEIG (RSEIG) is as follows [7]–[9]:

\[
\begin{bmatrix}
C_{11} & C_{12} & C_{13} & C_{14} \\
0 & C_{22} & 0 & 0 \\
0 & 0 & C_{33} & 0 \\
\end{bmatrix}
\begin{bmatrix}
\frac{d}{dt} [A_F'] \\
e \\
u \\
\end{bmatrix}
\]

\[
+ \begin{bmatrix}
D_{11} & 0 & 0 & 0 \\
C_{12} & 0 & 0 & 0 \\
C_{13} & 0 & 0 & 0 \\
\end{bmatrix}
\frac{d}{dt} \begin{bmatrix}
\frac{d}{dt} A_F' \\
e \\
u \\
\end{bmatrix}
\]

\[
= 0.
\]

\(A_F'(A_F' = rA_F)\) is the magnetic potential and \([A_F']_e = (\partial A_F'/\partial n)\) is its normal derivative for those elements which have a side shared with the exterior domain \(r\). The exterior domain is broadened to lie outside the magnetic iron core and \(\nu\) is the reluctivity of the air. \(e = [e_q e_d]^T\) and \(u = [u_q u_d]^T\) are the electromotive forces and terminal voltages of the windings \(q\) and \(d\), respectively.

Fig. 1. Reciprocating SEIG and equivalent circuits of \(q\) and \(d\) windings.

Fig. 2. Mesh of system.
each of the two adjacent boundary elements. $G$ is the Green function in axisymmetric coordinates:

$$G(\gamma, \xi) = \frac{1}{\pi k} \sqrt{r_s \xi} \left( K(k) - E(k) \right)$$

$$k^2 = \frac{4r_s}{(r + r_s)^2 + (\xi - z_s)^2}, \quad (\xi = (r_s, z_s), \gamma = (r, z)), \quad \xi = (r_s, z_s), \gamma = (r, z),$$

(5)

$E$ and $K$ are complete elliptic integrals of the first and second kind, respectively, and the derivative $(\partial G/\partial \xi)$ is either $(\partial G/\partial r) + G/r$, according to the observation point [11].

The application of this formulation to all boundary nodes, using a collocation method, generates a BE system of equations. The values of the magnetic vector potential and its normal derivative on the nodes are unknowns

$$\begin{bmatrix} S_{ppB} & S_{psB}(t) \\ S_{spB}(t) & S_{ssB} \end{bmatrix} \begin{bmatrix} A'_{ppB} \\ A'_{psB} \end{bmatrix} + \begin{bmatrix} N_{ppB} & N_{psB}(t) \\ N_{spB}(t) & N_{ssB} \end{bmatrix} \begin{bmatrix} \frac{\partial A''_{ppB}}{\partial t} \\ \frac{\partial A''_{ssB}}{\partial t} \end{bmatrix} = 0. \quad (6)$$

The general expressions for $S$ and $N$ are as follows:

$$S_{ij} = \left\{ \begin{array}{ll} \sum_{m=1}^{M} \int_{\Gamma_{ij}} \frac{\partial G(\gamma_j, \xi_i)}{\partial \xi_j} \phi_m(\xi_i) d\Gamma_{ij}, & \text{if } i \neq j \\
C(\xi_i) + \sum_{m=1}^{M} \int_{\Gamma_{ij}} \frac{\partial G(\gamma_j, \xi_i)}{\partial \gamma_j} \phi_m(\xi_i) d\Gamma_{ij}, & \text{if } i = j \end{array} \right. \quad (7)$$

$$n_{ij} = \int_{\Gamma_{ij}} G(\gamma_j, \xi_i) \phi_m d\Gamma_{ij} \quad (8)$$

where $A''_{ppB}$ and $A''_{ssB}$ denote the normal derivatives and $S_{spB}(t)$ and $S_{spB}(t)$ correspond to the mutual effects of the boundary elements of primary $(p)$ and secondary $(s)$, when one of the load or field points is placed on the primary boundary and the other one is placed on the secondary boundary. Movement causes $S_{ppB}(t)$ and $S_{spB}(t)$ to be time variable as the distance $|\gamma - \xi|$ varies with displacement. Similarly, the same holds for $n_{psB}(t)$ and $n_{spB}(t)$.

A combination of (1) and (6) gives the following coupled equations of the model:

$$\begin{bmatrix} C_{11} & C_{12} & C_{13} & C_{14} \\ 0 & C_{22} & 0 & 0 \\ 0 & 0 & C_{33} & 0 \\ S & 0 & 0 & N \end{bmatrix} \begin{bmatrix} A' \\ e \\ C_{11} & C_{12} & C_{13} & C_{14} \\ 0 & C_{22} & 0 & 0 \\ 0 & 0 & C_{33} & 0 \\ S & 0 & 0 & N \end{bmatrix} \begin{bmatrix} A''_{ppB} \\ C_{11} & C_{12} & C_{13} & C_{14} \\ 0 & C_{22} & 0 & 0 \\ 0 & 0 & C_{33} & 0 \\ S & 0 & 0 & N \end{bmatrix} = 0 \quad (9)$$

where the continuity of the quantities $A'$ and $A''$ has been considered

$$\begin{bmatrix} \frac{\partial A'}{\partial t} \\ \frac{\partial A''}{\partial t} \end{bmatrix} = \begin{bmatrix} \frac{\partial A'}{\partial t} \\ \frac{\partial A''}{\partial t} \end{bmatrix} = 0 \quad (10)$$

C. Harmonic Balance

The periodic time variation of variables is approximated by a truncated Fourier series with frequency $f$ and period $T = 1/F$, where $f$ is the fundamental frequency of the reciprocating motion. Considering $h$ as nonzero harmonics, the corresponding $2H + 1$ time basis functions $h(t)$ are

$$H_0(t) = 1, \quad H_2(t) = \begin{cases} \cos(2\pi \lambda ft), & 0 < \lambda \leq H, \\
\sin(2\pi \lambda ft), & -H \leq \lambda \leq 0 \end{cases} \quad \lambda = \frac{1}{2} \quad (11)$$

The harmonic time discretization of $A'(t), A''_{ppB}(t), e(t)$ and $u(t)$ can thus be written as [12]

$$A'(t) = \sum_{\lambda=1}^{H} A'(\lambda) H_\lambda(t), \quad A''_{ppB}(t) = \sum_{\lambda=1}^{H} A''_{ppB}(\lambda) H_\lambda(t)$$

$$e(t) = \sum_{\lambda=1}^{H} e(\lambda) H_\lambda(t), \quad u(t) = \sum_{\lambda=1}^{H} u(\lambda) H_\lambda(t). \quad (12)$$

The harmonic balance (HB) system of algebraic equations can be obtained by using the harmonic basis functions as weighting functions as well. Considering system of (7) results in

$$\frac{1}{T} \int_{0}^{T} \begin{bmatrix} C_{11} & C_{12} & C_{13} & C_{14} \\ 0 & C_{22} & 0 & 0 \\ 0 & 0 & C_{33} & 0 \\ S & 0 & 0 & N \end{bmatrix} \begin{bmatrix} A' \\ e \\ C_{11} & C_{12} & C_{13} & C_{14} \\ 0 & C_{22} & 0 & 0 \\ 0 & 0 & C_{33} & 0 \\ S & 0 & 0 & N \end{bmatrix} \begin{bmatrix} A''_{ppB} \\ C_{11} & C_{12} & C_{13} & C_{14} \\ 0 & C_{22} & 0 & 0 \\ 0 & 0 & C_{33} & 0 \\ S & 0 & 0 & N \end{bmatrix} = 0 \quad (13)$$

The application of the time discretization leads to the following system of equations:

$$\begin{bmatrix} C_{11}H + D_{11}H & C_{12}H & C_{13}H & C_{14}H \\ C_{12}H & 0 & 0 & 0 \\ C_{13}H & 0 & 0 & 0 \\ S & 0 & 0 & N \end{bmatrix} \begin{bmatrix} A' \ e \\ u \ u_H \ u''_{ppB} \end{bmatrix} = 0 \quad (14)$$

where $[A'_{H} \ e_{H} \ u_{H} \ u''_{H}]^T$ are the vectors of harmonic coefficients. If $\lambda$ denotes a pair of harmonic frequencies, then

$$D_{11}(\lambda) = \frac{1}{T} \int_{0}^{T} D_{11}(\lambda) H_\lambda(t) dt = D_{11}\delta_{\lambda} \lambda \quad (15)$$

$$C_{12}(\lambda) = \frac{1}{T} \int_{0}^{T} C_{12}(\lambda) H_\lambda(t) dt = C_{12}\delta_{\lambda} \lambda \quad (16)$$

$$C_{13}(\lambda) = \frac{1}{T} \int_{0}^{T} C_{13}(\lambda) H_\lambda(t) dt = C_{13}\delta_{\lambda} \lambda \quad (17)$$

$$C_{14}(\lambda) = \frac{1}{T} \int_{0}^{T} C_{14}(\lambda) H_\lambda(t) dt = C_{14}\delta_{\lambda} \lambda \quad (18)$$

$$C_{22}(\lambda) = \frac{1}{T} \int_{0}^{T} C_{22}(\lambda) H_\lambda(t) dt = C_{22}\delta_{\lambda} \lambda \quad (19)$$

$$C_{33}(\lambda) = \frac{1}{T} \int_{0}^{T} C_{33}(\lambda) H_\lambda(t) dt = C_{33}\delta_{\lambda} \lambda \quad (20)$$

$$S_{ppB}(\lambda) = \frac{1}{T} \int_{0}^{T} S_{ppB}(\lambda) H_\lambda(t) dt = S_{ppB}\delta_{\lambda} \lambda \quad (21)$$

$$N_{ppB}(\lambda) = \frac{1}{T} \int_{0}^{T} N_{ppB}(\lambda) H_\lambda(t) dt = N_{ppB}\delta_{\lambda} \lambda \quad (22)$$
and so on for $S^{(k,\lambda)}_{\text{SpBH}}$ and $n^{(k,\lambda)}_{\text{SpBH}}$ that have diagonal block structure because of the orthonormality of the basis functions. Also

$$C^{(k,\lambda)}_{11H} = \frac{1}{T} \int_0^T v(t) C_{11H} H_\lambda(t) H_\lambda(t) dt$$

$$S^{(k,\lambda)}_{\text{psBH}} = \frac{1}{T} \int_0^T S_{\text{psB}}(t) H_\lambda(t) H_\lambda(t) dt$$

$$N^{(k,\lambda)}_{\text{psBH}} = \frac{1}{T} \int_0^T N_{\text{psB}}(t) H_\lambda(t) H_\lambda(t) dt$$

and so on, for $S^{(k,\lambda)}_{\text{SpBH}}$ and $N^{(k,\lambda)}_{\text{SpBH}}$ that are full matrices because they require integration over a fundamental period of movement and $C^{(k,\lambda)}_{11H}$ needs to be considered iron saturation.

To maintain the symmetry of the matrices, particular attention must be paid to matrices $C_{12H}, C_{13H}, C_{22H}, C_{33H}, C_{1H}$. $u_H$ is required because of the differential expressions $[C_{12H}] \cdot (d/dt)[A]$ and $[C_{13H}] \cdot (d/(dt))[A]$.

### D. Creating Symmetrical Matrix Equations and Applying Newton–Raphson Iteration

The last row of matrix (14), which is related to the boundary element equations, allows us to obtain a relationship between the nodal values of $[A_H]$ and $[(\epsilon_0/r) A_H^0]$ on $\Gamma$ as follows:

$$[\frac{1}{r} A_H^0] = -[n]^{-1} \cdot [S] \cdot [A_H^0]. \quad (26)$$

Rewriting this expression in the matrix equation of the first row in (14) and creating a new stiffness matrix $C'_{11H}$, the system to be solved is

$$
\begin{bmatrix}
C'_{11H} + D_{11H} & C_{12H} & C_{13H} \\
C_{12H}^T & e_H \\
C_{13H} & 0 & C_{33H}
\end{bmatrix}
\begin{bmatrix}
A_H^0 \\
e_H \\
0
\end{bmatrix} = 0. \quad (27)
$$

For simplicity, the Neumann condition $(\partial A/\partial n = 0)$ and Dirichlet condition $(A = 0)$ are applied to the boundaries $\Gamma_{12}, \Gamma_{23}, \Gamma_{31}, \Gamma_{50}, \Gamma_{67}, \Gamma_{78}$ in Fig. 2. These assumptions do not have a considerable effect on the results, as will be shown in comparison with time-stepping FE method. In this case, by considering (7) and (8), it can be proved that the BE matrices in (6) are symmetrical $(S_{ij} = S_{ji}$ and $n_{ij} = n_{ji}$) because $[\xi - \gamma]$ is equal to $[\xi - \gamma]$ on the boundaries $\Gamma_{12}, \Gamma_{31}$, so the final matrix in the coupled system in (27) is symmetrical. However, this symmetry does not hold, in general, for the BE method.

Nonlinearity of the magnetic core causes the element stiffness matrix $C_{11H}$ to be unknown and must be determined iteratively. In [13], the Newton–Raphson method has been adopted in the FE-HB method using a differential reluctivity tensor that is applied to (27)

$$\text{Jacobian}_{p} [dA'_{H} de_H du_H^0]_{p+1} = \text{[Residual]}_{p}, \quad (28)$$

where $[dA'_{H} de_H du_H^0]_{p+1}$ is the incremental vector of $[A'_{H} e_H u_H^0]$ at the $p$th iteration. Also, $\partial A'/\partial B^2(t)$ and $\partial B^2(t)/\partial A'$ are evaluated for the $p = 1$th approximate solution, using the analytical equation of the magnetic saturation curve of the iron and $B = \nabla \times \vec{A}$, respectively.

### III. RESULTS

The proposed method for SEIGs is applied to the reciprocating generator of Fig. 1. The generator has a tubular structure in which the secondary (translator) has been slotted to reduce the effective air gap, instead of a smooth top cap layer of copper. The secondary length is twice the primary length, and the primary length is equal to the stroke length. The primary has two separate windings which constitute a two-phase structure and each slot has one coil with 120 turns.

Taking into account the mass of the translator, the imposed motion profile of the secondary is assumed to be: $X(t) = 0.05 \sin(2\pi \times 30.7 t)$ meters and the maximum relative speed to be 10 m/s. The proposed SEIG for free-piston generator application has a higher reciprocating frequency compared to the permanent magnet counterparts (25–30 Hz) [4], [5], because the majority of the translator mass of the permanent magnet-type generator belongs to the magnets, which leads to the reduction of the reciprocating frequency compared with the proposed induction type.

The fundamental period of movement is subdivided into 92 time steps to calculate the integrations (24–25) in the motion-dependant entries of $S^{(k,\lambda)}_{\text{SpBH}}, S^{(k,\lambda)}_{\text{SpBH}}, n^{(k,\lambda)}_{\text{SpBH}}$ and $n^{(k,\lambda)}_{\text{SpBH}}$. The model is also simulated by a time-stepping hybrid FE–BE solver [7], [10]. Fig. 3 shows the voltage build up as the main winding voltage $U_{\text{g}}$. Self-excitation of the generator begins either by a residual air-gap flux or charge on the excitation capacitors. This residual flux-linkage induces voltage in the primary windings when the translator moves. With sufficient capacitance, the process continues leading to the increase of induced primary voltages $U_{\text{q}}$ and $U_{\text{d}}$ until it settles down to a steady-state operating condition determined by the air-gap flux saturation. The steady-state results are shown in Fig. 4 for main $(q)$ and auxiliary
Fig. 5. Instantaneous magnetic flux lines of generator.

Fig. 6. Spectrum of voltages $U_q$ and $U_d$.

Fig. 7. Harmonic components of the flux lines of HB3: (a) first component, (b) second component, (c) third component, and (d) fourth component.

$d$ voltages ($U_q$, $U_d$), and its instantaneous magnetic flux distribution has been shown in Fig. 5. The spectrum of the terminal voltages is depicted in Fig. 6, where the fundamental frequency is 39.7 Hz.

Three HB simulations are carried out. The spectrum of these three simulations based on the HB, denoted as HB1, HB2, and HB3, is as follows: HB1: 0, 1 HB2: 0, 1, 2, and HB3: 0, 1, 2, 3, 4. The flux patterns for the HB3 simulation are shown in Fig. 7. The third harmonic is concentrated around the air gap.

The HB results for $U_q$ are shown in Fig. 8, where harmonic components 0, 39.7, 79.4, 119.1, and 158.8 Hz are taken into account. The HB results for steady-state operation are closely identical to the time-stepping methods, including the transient coupled FE–BE method and transient FE method. The mesh for time-stepping pure FE is depicted in Fig. 9, [14] and the results for time-stepping FE and time-stepping coupled FE–BE at steady state are identical as shown in Fig. 8.

The required computation time, however, is very long for the transient period; it depends on the exciting capacitance, and sometimes it is longer than 6 h. For a similar situation, it lasts approximately 3 h and 20 min for time-stepping FE, 1 h and 30 min for the time-stepping coupled FE–BE method, and 15 min for the HB3 method. This indicates the benefits of the HB method over the time-stepping methods. In the coupled FE–BE method, the whole mesh includes 1280 elements and 977 nodes, while the air-gap region is treated by the BE method. For transient FE simulation, the whole mesh includes 1800 elements and 1548 nodes. In comparison with the FE method, the coupled FE–BE method reduces the dimensions of the
system matrices and avoids dominant changes in the global stiffness matrix at each time step because of geometry changes.

The waveform of the thrust force between the primary and secondary parts has been depicted in Fig. 10, which shows a pulsed power due to the reciprocating linear motion.

For different exciting capacitances, the fundamental frequency does not vary, because it is determined by the fundamental frequency of the imposed motion profile. As the exciting capacitance increases, the saturation level increases, and the peak value of the thrust force increases as shown in Fig. 11. As a result, the output voltages $U_q$ and $U_d$, and thus output power, increase. A variation of $U_q$ versus $C_d$ ($C_d = C_q$) has been plotted in Fig. 12. There is no self-excitation where the capacitance is smaller than $C_{q,\text{min}}$ or larger than $C_{q,\text{max}}$ and voltage de-excitation occurs [2].

**IV. CONCLUSION**

The calculation procedure for the coupled FE–BE method combined with HB method has been presented and extended to include time-periodic movement. It has been suggested for steady-state analysis of a reciprocating SEIG. The proposed numerical method provides a straightforward procedure for the analysis of the self-excited systems. To analyze the SEIG, only the magnetization characteristic of the iron is required, while the analytical methods require an experimental magnetization inductance curve (versus magnetization current). This could be helpful in the design of an SEIG and reluctance generator that need Ferro-resonance phenomenon to stabilize the self-excitation. Although the proposed numerical method is more complicated than the analytical ones, it can accurately deal with magnetic core saturation.

For the 2-D case of a linear machine with linear time-periodic movement, it only requires elementary manipulations of the Green function and its normal derivative. For particular boundary conditions, the static global matrix is symmetrical.

The results obtained by the proposed numerical method and that of time-stepping FE and coupled FE–BE methods has been compared, which shows a favorable computing time for the proposed method to acquire the steady-state solution.

**REFERENCES**


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