

# On Kummer extensions generated by $S$ -units in algebraic number fields

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**Abstract.** Let  $K/k$  be a Galois extension of algebraic number fields with a Galois group  $G$ . Let  $S$  be a finite set of places of  $k$  containing every archimedean places. Let  $E_S$  be the group of  $S$ -units of  $K$ . We choose a normal subgroup  $G^*$  in  $G$  and fix it once for all. Denote by  $k_*$  the intermediate field corresponding to  $G^*$ . Let  $p$  be a prime number. We define a subgroup  $Q_p$  of  $E_S$  to be  $\{x \in E_S : x^{p^N} \in k_*\}$  for a sufficiently large integer  $N$ . We study the value of a ratio  $|Q_p^H : Q_p^H \cap k_*| / |k_*(Q_p^H) : k_*|$ . This ratio is a little more subtle to treat when  $p = 2$  and  $k_* \not\cong \sqrt{-1}$ . We need a certain assumption concerning ramification of prime ideals dividing 2 in the subtle case.

**1. Introduction.** Let  $k$  be a finite algebraic number field and  $K$  be its finite Galois extension. We choose a normal subgroup  $G^*$  of the Galois group  $Gal(K/k)$  and fix it once for all. Let  $k_*$  be the intermediate field of  $K/k$  corresponding to the normal subgroup  $G^*$ . When a subgroup  $H$  of  $Gal(K/k)$  is given, we denote by  $H^*$  the subgroup generated by  $H$  and  $G^*$ , that is  $H^* = HG^*$ . Let  $S$  be a finite set of places of  $k$  containing every archimedean places. Denote by  $S(K)$

the set of every places of  $K$  lying above places belonging to  $S$ . Let  $E_S$  be the group of  $S(K)$ -units of  $K$  and  $\mu$  be the torsion subgroup of  $E_S$ . We define a subgroup of  $E_S$  by

$$Q = \{x \in E_S : x^{|\mu|} \in k_*\}.$$

We note that  $Q/Q^{G^*}$  is a finite abelian group and that  $Q^H Q^{G^*} / Q^{G^*}$  is isomorphic to  $Q^H / Q^{H^*}$  for an arbitrary subgroup  $H$ . In the present paper, we shall study the index  $|Q^H : Q^{H^*}|$ . We define a comparison constant  $C(H)$  of the index with the extension degree  $[k_*(Q^H) : k_*]$

by

$$|Q^H : Q^{H^*}| = C(H)[k_*(Q^H) : k_*].$$

If  $k_*(Q^H)/k_*$  is a Kummer extension, it is well-known that  $C(H)$  equals one. Hence, our main concern is focused on the case that  $k_*(Q^H)$  is not a Kummer extension of  $k_*$ .

We proved a formula concerning the Brauer's class number relation in Theorem 9 of [3], where a product

$$\frac{\prod_{H \in \Gamma_0} |Q^H : Q^{H^*}|}{|Q^{G_0} : Q^{G^*}|}$$

of indices  $|Q^H : Q^{H^*}|$  for a certain family  $\Gamma_0$  of subgroups appears. We note that  $G_0$  denotes that the intersection  $\cap H$  of subgroups belonging to  $\Gamma_0$  and that  $G^*$  is the normal subgroup defined from  $\Gamma_0$ , which contains  $G_0$ . It is inevitable for us to attempt studying the index  $|Q^H : Q^{H^*}|$  for each subgroup  $H$ . We use classical Kummer theory to this end, especially, the Vahlen-Capelli criterion for reducibility of a polynomial  $x^n - a$ . According to [1], the criterion is stated as follows:

**THEOREM.** *Let  $K$  be an arbitrary field,  $a \in K^\times$  and  $n \in \mathbf{N}$ ,  $n \geq 2$ . Then,  $X^n - a$  is reducible in  $K[X]$  if and only if either (i) there exists  $s \in \mathbf{N}$ ,  $s > 1$ ,  $s \mid n$  such that  $a \in K^s$ , (ii)  $4 \mid n$  and  $-4a \in (K^\times)^4$ .*

Here,  $K^\times$  denotes the multiplicative group of the field, and  $(K^\times)^s$  denotes the subgroup consisting of sth power of every

element contained in  $K^\times$ .  $K^s$  is union of  $(K^\times)^s$  and  $\{0\}$ . We follow these notations. We notice that the case (ii) is reduced to the case (i) if  $\sqrt{-1} \in K$ , because  $-4a = b^4$  implies  $a \in (K^\times)^2$ .

In the sequel part, a field is interpreted as a subfield of the field of complex numbers,  $p$  denotes a prime number and  $\zeta_{p^n}$  denotes the complex number  $e^{2\pi\sqrt{-1}/p^n}$ . Since a finite abelian group is decomposed into a direct sum of the  $p$ -primary torsion subgroups, we have

$$Q/Q^{H^*} = \sum_p Q_p/Q^{G^*},$$

where  $Q_p/Q^{G^*}$  are  $p$ -primary torsion subgroups. Since  $Q_p^H/Q_p^{G^*}/Q^{G^*}$  is isomorphic to  $Q_p^H/Q_p^{H^*}$  for each  $p$ , we obtain

$$Q^H/Q^{H^*} \cong \bigoplus_p Q_p^H/Q_p^{H^*}.$$

We define a constant  $C_p(H)$  to be

$$(1) \quad |Q_p^H : Q_p^{H^*}| = C_p(H)[k_*(Q_p^H) : k_*].$$

Note  $C_p(H) = 1$  except of finite numbers of  $p$ 's. We study  $C_p(H)$ 's in place of  $C(H)$ , because it equals a product of them.

**2. An application of the theory of Kummer extensions.** It is obvious that the following lemma holds:

**LEMMA 1.** *Suppose  $x^{p^n} - a$  is irreducible in  $k[x]$ . Let  $\alpha$  be a root of the equation  $x^{p^n} - a = 0$ . If  $k(\alpha)/k$  is a Galois extension, we have  $\zeta_p \in k$  and  $\zeta_{p^n} \in k(\alpha)$ .*

We deal with a subgroup  $Q'$  of  $Q_p^H$  in general and define a constant  $C'_p(H)$  by

$$(2) \quad |Q' : k_* \cap Q'| = C'_p(H)[k_*(Q') : k_*]$$

Put  $k' = k_*(\zeta_{p^N})$  for a sufficiently large integer  $N$  and  $M = k' \cap k_*(Q')$ . We have

$$(3) \quad [k_*(Q') : k_*] = [k'(Q') : k'][M : k_*].$$

Since  $k'(Q')/k'$  is a Kummer extension whose Kummer group is  $Q'(k')^\times/(k')^\times$ , we have

$$(4) \quad C'_p(H) = |k' \cap Q' : k_* \cap Q'|/[M : k_*]$$

from (2) and (3). Let  $A$  be the subgroup of  $Q_p^H/Q_p^{H*}$  generated by  $k' \cap Q'$ . We have

$$A \cong k' \cap Q' / k_* \cap Q'.$$

$A$  is a finite abelian  $p$ -group. We see  $A \cong \{1\}$  if  $M = k_*$ .

**LEMMA 2.**  *$A$  is a cyclic group if  $M/k_*$  is a cyclic extension.*

*Proof.* We may assume  $M \neq k_*$  and  $A \not\cong \{1\}$ . Let  $\bar{\alpha}$  be an element of  $A$  with order  $p$ . We see  $k_*(\alpha)/k_*$  is a cyclic extension of degree  $p$ , because  $k_*(\alpha)$  is a subfield of  $M$  and  $M/k_*$  is a cyclic extension. We have  $\zeta_p \in k_*$  from Lemma 1, and hence  $k_*(\alpha)/k_*$  is a Kummer extension. Since  $M/k_*$  is cyclic, every elements of order  $p$  contained in  $A$  defines the same subfield of  $M$ . This implies that there is a unique subgroup of order  $p$  in  $A$ . Since  $A$  is an abelian  $p$ -group, it is a cyclic group.  $\square$

We lift the extension  $M/k_*$  by adjoining  $\zeta_{2p}$ . Denote by  $k'_*$  (resp.  $M'$ ) an extension  $k_*(\zeta_{2p})$  (resp.  $M(\zeta_{2p})$ ). Since  $M/k_*$  is an abelian  $p$ -extension, we have

$M \cap k'_* = k_*$  if  $p > 2$ . When  $p = 2$ , we have  $M \cap k'_* = k_*$  if and only if  $M \not\ni \sqrt{-1}$  or  $k_* \ni \sqrt{-1}$ . Let  $m_0$  (resp.  $m$ ) be the maximum of the integers  $t \geq 0$  such that  $k'_* = k_*(\zeta_{p^t})$  (resp.  $M' = k_*(\zeta_{p^t})$ ) holds. We see  $m \geq m_0 \geq 1$  and  $m_0 \geq 2$  if  $p = 2$ .

**THEOREM 3.** *Suppose  $\zeta_{2p} \in k_*$ . We have  $A$  is a cyclic group of order  $p^{m-m_0}$  and  $C'_p(H) = 1$  if  $Q'$  contains  $\zeta_{p^m}$ .*

*Proof.* Since  $k_* = k'_*$  and  $M = M'$ , we see  $M/k_*$  is a cyclic extension. Thus, we have  $A$  is cyclic by Lemma 2. We may assume  $m > m_0$ . Let  $\overline{\zeta_{p^m}}$  be an element of  $A$  which  $\zeta_{p^m}$  generates. Since the order is equal to  $p^{m-m_0}$ , we have  $p^{m-m_0} = [M : k_*]$  divides  $|A|$ . Suppose  $|A| > p^{m-m_0}$ . There is an element  $\bar{\alpha}$  in  $A$  such that  $\bar{\alpha}^p = \overline{\zeta_{p^m}}$  holds. Put  $u = \alpha^p \zeta_{p^m}^{-1}$ . We have  $u \in k_*$  and choose a  $p$ th root so that  $\alpha = \zeta_{p^{m+1}} \sqrt[p]{u}$  holds. Since  $\alpha$  is an element of  $k'$ , we see  $\sqrt[p]{u} \in k'$ . However,  $\sqrt[p]{u} \notin M$ , because of  $\zeta_{p^{m+1}} \notin M$ . Since  $k'/k_*$  is a cyclic extension, we have  $k_*(\sqrt[p]{u}) = k_*(\zeta_{p^{m_0+1}})$ . This implies  $M \ni \sqrt[p]{u}$ , because of  $\zeta_{p^{m_0+1}} \in M$ . We are led into contradiction. Therefore, there is no such  $\bar{\alpha}$  in  $A$ . Since  $A$  is an abelian  $p$ -group, it is a cyclic group of order  $p^{m-m_0}$  generated by  $\overline{\zeta_{p^{m-m_0}}}$ . We have  $C'_p(H) = 1$  from (4).  $\square$

**3. Applications of a system of cyclotomic extensions.** We concentrate ourselves on the case in which  $p = 2$  and

$\sqrt{-1} \notin k_*$ . Put  $L = k'_* \cap k_*(Q_2^H)$ . We have

$$(5) \quad [k_*(Q_2^H) : k_*] = [k'_*(Q_2^H) : k'_*][L : k_*].$$

Let  $B$  be a subgroup of  $A$  generated by  $k'_* \cap Q_2^H$ :

$$(6) \quad B \cong k'_* \cap Q_2^H / k_* \cap Q_2^H.$$

We see  $B \cong \{1\}$  when  $L = k_*$ . If  $L = k'_*$ ,  $B$  contains an element  $\zeta_4$  of order two.

LEMMA 4. We have  $C_2(H) = |B|/2$  if  $L = k'_*$ .

Proof. We see  $M' = M$  and  $Q_2^H \ni \zeta_{2^m}$  when  $L = k'_*$ . Let  $G'$  be the Galois group of  $K/k(\sqrt{-1})$  and put  $(G')^* = G' \cap G^*$ .  $(G')^*$  is a normal subgroup of  $G'$  corresponding to  $k'_*$ . We have  $H$  is a subgroup of  $G'$ , because  $K^H \ni \sqrt{-1}$ . Let  $R$  be a subgroup of  $E_S$  defined for the Galois extension  $K/k(\sqrt{-1})$  and the normal subgroup  $(G')^*$ :

$$R = \{x \in E_S : x^{|\mu|} \in k'_*\}.$$

Let  $R_2/R^{(G')^*}$  be the 2-primary torsion subgroup of  $R/R^{(G')^*}$ . Since  $Q_2^H$  is a subgroup of  $R_2^H$  containing  $\zeta_{2^m}$ , we are able to apply Theorem 3 to the case of  $Q' = Q_2^H$ . We have

$$|Q_2^H : k'_* \cap Q_2^H| = [k'_*(Q_2^H) : k'_*]$$

from (2) and

$$|Q_2^H : k_* \cap Q_2^H| = |Q_2^H : k'_* \cap Q_2^H| |B|$$

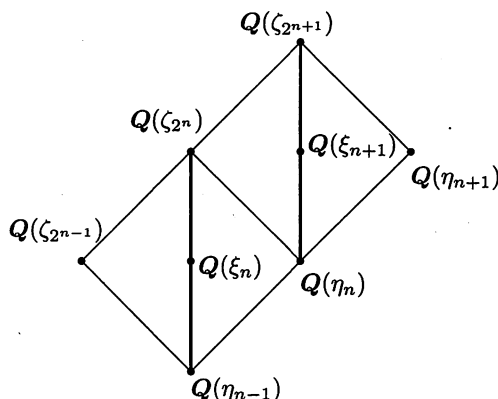
from (6). We obtain

$$|Q_2^H : k_* \cap Q_2^H| / |B| = [k_*(Q_2^H) : k_*] / [L : k_*]$$

from (5). Therefore,  $C_2(H) = |B|/2$ .  $\square$

The cyclotomic extension  $Q(\zeta_{2^n})$  contains  $\eta_n = \zeta_{2^n} + \zeta_{2^n}^{-1}$  and  $\xi_n = \zeta_{2^n} - \zeta_{2^n}^{-1}$ .

We see  $\eta_n^2 = \eta_{n-1} + 2$  and  $\xi_n^2 = \eta_{n-1} - 2$ . The system of subfields is described by the following Hasse diagram for  $n \geq 4$



We define a chain  $k_0 \subseteq L_0 \subseteq M_0$  these subfields corresponding to  $k \subseteq L \subseteq M$  by

$$k_0 = k_* \cap Q(\zeta_{2^n}) \subseteq L_0 = L \cap Q(\zeta_{2^n}) \subseteq M_0 = M \cap Q(\zeta_{2^n}).$$

Since  $k_*$  does not contain  $\sqrt{-1}$ , we see  $k_0 = Q(\eta_{m_0})$  or  $k_0 = Q(\xi_{m_0})$ . We observe the following four cases may occur:

a)  $k_0 = Q(\eta_{m_0}), L_0 = Q(\zeta_{2^{m_0}}),$

$$M_0 = Q(\zeta_{2^m}).$$

b)  $k_0 = Q(\xi_{m_0}), L_0 = Q(\zeta_{2^{m_0}}),$

$$M_0 = Q(\zeta_{2^m}).$$

c)  $k_0 = L_0 = Q(\eta_{m_0}), M_0 = Q(\eta_m).$

d)  $k_0 = L_0 = Q(\eta_{m_0}), M_0 = Q(\xi_m).$

We notice that  $L_0$  contains  $\sqrt{-1}$  if  $M \ni \sqrt{-1}$ , because  $L$  is a subfield of  $M$ .  $L$

coincides with  $k'_*$  in each case of a) and b). We can prove that  $B$  is a cyclic group by a similar argument by which we prove that  $A$  is cyclic if  $M/k_*$  is a cyclic extension in Lemma 2. Namely, let  $\bar{\alpha}$  be an element of  $B$  with order 2.  $k_*(\alpha)$  is a quadratic extension and is contained in  $k'_*$ . Thus,  $k_*(\alpha) = L$ . This implies  $\alpha \in \sqrt{-1}(k'_*)$  by Kummer theory, because of  $L = k_*(\sqrt{-1})$ . Therefore, we have there is a unique element of order 2 in  $B$ . It follows that  $B$  is cyclic.

Let  $S_2$  be the set of every places of  $k$  lying above 2.

LEMMA 5.  *$B$  is a cyclic group generated by  $\overline{\zeta_{2^{m_0}}}$  in the case of b).*

*Proof.* We prove  $B$  is cyclic in the above. Suppose there is  $\bar{\alpha} \in B$  such that  $\bar{\alpha}^2 = \overline{\zeta_{2^{m_0}}}$ . We have  $u = \alpha^2 \zeta_{2^{m_0}}^{-1}$  is contained in  $k_*$  and choose an element  $\sqrt{u}$  of  $k'$  so that  $\alpha = \zeta_{2^{m_0+1}} \sqrt{u}$  holds. We observe that  $\mathbf{Q}(\zeta_{2^N})/k_0$  is a cyclic extension in the above Hasse diagram. Since  $k_*(\sqrt{-1}, \sqrt{u})$  is a subfield of  $k'$ , we have  $k_*(\sqrt{-1}, \sqrt{u})$  is also cyclic over  $k_*$ . Thus, there is  $x \in k_*$  such that  $\sqrt{u} = \sqrt{-1}x$  holds. However, since  $\alpha = \zeta_{2^{m_0+1}}(\sqrt{-1}x) \in L$ , we obtain  $\zeta_{2^{m_0+1}} \in L$ . This contradicts the definition of the number  $m_0$ . There is no such  $\alpha$  in  $B$ . Hence,  $B$  is a cyclic group generated by  $\overline{\zeta_{2^{m_0}}}$ .  $\square$

We observe  $\mathbf{Q}(\zeta_{2^{m_0+1}})$  coincides with

$\mathbf{Q}(\zeta_{2^{m_0}}, \eta_{m_0+1})$  from the Hasse diagram. We see  $\mathbf{Q}(\zeta_{2^{m_0+1}})$  is a quadratic extension over  $\mathbf{Q}(\zeta_{2^{m_0}})$  generated by  $\zeta_{2^{m_0+1}}$  or  $\eta_{m_0+1}$ . Hence,  $\rho_{m_0} = \zeta_{2^{m_0+1}} \eta_{m_0+1}$  is an element of  $\mathbf{Q}(\zeta_{2^{m_0}})$ . We have  $\rho_{m_0}^{2^t} \in \mathbf{Q}(\eta_{2^{m_0}})$  if and only if  $t \equiv 0 \pmod{m_0}$ .

LEMMA 6. *We suppose in the case of a) that every prime divisors dividing 2 in  $k_*$  have odd ramification indices over  $k_0 = \mathbf{Q}(\eta_{m_0})$ . Then, we have  $B$  is a cyclic group. Moreover,  $B$  is generated by  $\overline{\rho_{m_0}}$  if  $S \supset S_2$  and does by  $\overline{\zeta_{2^{m_0}}}$  if  $S \not\supset S_2$ .*

*Proof.* Let  $\mathfrak{p}_{m_0}$  be the prime ideal dividing 2 in  $k_0$ . We note that  $\mathfrak{p}_{m_0}$  is a principal ideal generated by  $\eta_{m_0+1}^2 = \eta_{m_0} + 2$  or  $\xi_{m_0+1}^2 = \eta_{m_0} - 2$ . Let

$$(7) \quad \mathfrak{p}_{m_0} = \mathfrak{P}_1^{e_1} \cdots \mathfrak{P}_r^{e_r}$$

be the factorization of  $\mathfrak{p}_{m_0}$  to prime ideals in  $k_*$ .

When  $S \supset S_2$ , we see  $\rho_{m_0} \in \mathbf{Q}_2^H$ . Suppose there is  $\bar{\alpha}$  in  $B$  such that  $\bar{\alpha}^2 = \overline{\rho_{m_0}}$  holds. We have  $u = \alpha^2 \rho_{m_0}^{-1}$  is an element of  $k_*$ . We choose a square root so that

$$(8) \quad \alpha = \sqrt{\zeta_{2^{m_0+1}}} \sqrt{\eta_{m_0+1} u}$$

holds. Put  $\theta = \sqrt{\eta_{m_0+1} u}$ . We see  $\theta \in k'$ , because  $\alpha$  and  $\sqrt{\zeta_{2^{m_0+1}}}$  belong to  $k'$ . If  $\theta$  is an element of  $k_*(\eta_{m_0+1})$ , we have  $x \in k_*(\eta_{m_0+1})$  such that  $\eta_{m_0+1} u = x^2$  holds. Thus, we obtain  $\mathfrak{p}_{m_0}(u^2) = (x^4)$  in  $k_*(\eta_{m_0+1})$ . Since  $k_*(\eta_{m_0+1})/k_*$  is a quadratic extension, we see that

the ideal  $(x)$  is invariant by action of  $Gal(k_*(\eta_{m_0+1})/k_*)$  and that  $(x^2)$  is a natural extension of an ideal of  $k_*$ . Hence,  $\mathfrak{p}_{m_0}$  is a square of an ideal in  $k_*$ . This implies every  $e_i$ 's in (7) are even. Therefore, if one of  $e_i$ 's is odd, we have  $\theta \notin k_*(\eta_{m_0+1})$ . Thus,  $k_*(\theta)$  is a quadratic extension of  $k_*(\eta_{m_0+1})$  and is a quartic extension over  $k_*$ . This implies  $x^4 - \theta^4$  is irreducible over  $k_*$ . Since  $\theta \in k'$ , we have  $k_*(\theta)/k_*$  is an abelian extension. It follows from Lemma 1 that  $k_*(\theta)$  contains  $\sqrt{-1}$ . Since  $k_*(\sqrt{-1}, \eta_{m_0+1})$  is also quartic over  $k_*$ , we have  $k_*(\theta) = k_*(\sqrt{-1}, \eta_{m_0+1}) = k_*(\zeta_{2^{m_0+1}})$ . However, since  $\alpha \in L = k_*(\zeta_{2^{m_0}})$  and since  $\sqrt{\zeta_{m_0+1}} = \alpha\theta^{-1}$  from (8),  $k_*(\zeta_{2^{m_0+1}})$  must contain  $\zeta_{2^{m_0+2}}$ . We are led into contradiction. Therefore, there is no  $\bar{\alpha}$  in  $B$  such that  $\bar{\alpha}^2 = \overline{\rho_{m_0}}$  holds.  $B$  is a cyclic group generated by  $\overline{\rho_{m_0}}$

We suppose there is  $\bar{\alpha}$  in  $B$  such that  $\bar{\alpha}^2 = \overline{\zeta_{2^{m_0}}}$  holds when  $S$  does not contain  $S_2$ .  $u = \alpha^2 \zeta_{2^{m_0}}^{-1}$  is an element of  $k_*$  and there is  $\sqrt{u}$  satisfying  $\alpha = \zeta_{2^{m_0+1}} \sqrt{u}$ . We see  $\sqrt{u} \in k'$ ,  $\sqrt{u} \notin k_*(\sqrt{-1})$ , because of  $\alpha \in k_*(\sqrt{-1})$  and  $\zeta_{2^{m_0+1}} \notin k_*(\sqrt{-1})$ . Hence,  $k_*(\sqrt{-1}, \sqrt{u})$  is a biquadratic extension of  $k_*$  contained in  $k'$ . Since  $\mathcal{Q}(\zeta_{2^{m_0+1}})/k_0$  is a biquadratic extension, we have  $k_*(\zeta_{2^{m_0+1}})/k_*$  is also biquadratic. Thus,  $k_*(\sqrt{-1}, \sqrt{u}) = k_*(\zeta_{2^{m_0+1}})$ . We observe  $k_*(\zeta_{2^{m_0+1}}) = k_*(\sqrt{-1}, \eta_{m_0+1}) = k_*(\sqrt{-1}, \xi_{m_0+1})$ . This implies  $\sqrt{u} \eta_{m_0+1}^{-1} \in$

$k_*$  or  $\sqrt{u} \xi_{m_0+1}^{-1} \in k_*$  by Kummer theory, because of  $\eta_{m_0+1} \xi_{m_0+1} = \xi_{m_0} \notin k_*$ . If  $x = \sqrt{u} \eta_{m_0+1}^{-1} \in k_*$  (resp.  $y = \sqrt{u} \eta_{m_0+1}^{-1} \in k_*$ ), we have  $\mathfrak{p}_{m_0} = (ux^{-2})$  (resp.  $\mathfrak{p}_{m_0} = (uy^{-2})$ ). Let  $\mathfrak{P}_i$  be a prime divisor in  $k_*$  dividing 2 such that  $\mathfrak{P}_i \nmid u$ . The exponent  $e_i$  of the prime divisor in (7) must be even. This is impossible. Therefore, there is no  $\bar{\alpha}$  in  $B$  such that  $\bar{\alpha}^2 = \overline{\zeta_{2^{m_0}}}$  holds.  $B$  is a cyclic group generated by  $\overline{\zeta_{2^{m_0}}}$ .  $\square$

By Lemma 4, 5 and 6, we obtain

**THEOREM 7.** *We have  $C_2(H) = 2^{m_0-2}$  in the case b). If every prime ideals of  $k_*$  dividing 2 have odd ramification indices over  $k_0$ , we have in the case a) that  $C_2(H)$  equals  $2^{m_0-1}$  when  $S \supset S_2$ , and equals  $2^{m_0-2}$  when  $S \not\supset S_2$ .*

**REMARK 1.** Set  $k = \mathcal{Q}(\eta_{m_0+1})$  and  $K = k(\zeta_{2^{m_0+1}}, \sqrt{\rho_{m_0}})$ . Let  $\sigma$  be restriction onto  $K$  of the complex conjugation map.  $\sigma$  generates the Galois group of  $k(\zeta_{2^{m_0+1}})/k$  and  $\rho_{m_0}^\sigma = \zeta_{2^{m_0+1}}^{-2} \rho_{m_0}$ . Therefore,  $K/k$  is a Galois extension. Set  $G^* = Gal(K/k)$  and  $S$  to union of the set consisting of every archimedean places and a set  $\{\mathfrak{p}_{m_0}\}$ . Put  $H = \{id_K\}$ . We see  $k_* = k$ ,  $L = k(\zeta_{2^{m_0+1}})$  and  $\sqrt{\rho_{m_0}} \in \mathcal{Q}_2^H$ . Then,  $\overline{\sqrt{\rho_{m_0}}}$  is an element of order  $2^{m_0+1}$  in  $B$ .

**THEOREM 8.** *We have  $2^{m_0-m} \leq C_2(H) \leq 2^{m_0-m+1}$  in cases c) and d).*

*Proof.* Since  $k' = k_*(\zeta_{2^N})$  and  $k' \supset M$ , we have  $M_0 k_* = M$ . We observe that  $\sqrt{-1}$  is not contained in  $M$  and that  $M/k_*$  is a cyclic extension of degree  $2^{m-m_0}$ . If  $m = m_0$ , we see  $|A| = 1$  and  $C_2(H) = 1$ . Let  $\bar{\alpha}$  be an element of order  $2^n$  in  $A$ . Suppose  $n > 1$  when  $m > m_0$ . We have  $k_*(\alpha)$  is an abelian extension of  $k_*$ , because it is a subfield of  $M$ . If  $x^{2^n} - \alpha^{2^n}$  is irreducible over  $k_*$ , we obtain  $k_*(\alpha) \ni \sqrt{-1}$  by Lemma 1. However, this is not the case. If  $x^{2^n} - \alpha^{2^n}$  is reducible, we have  $-4\alpha^{2^n} = b^4$  holds for  $b \in k_*^\times$  by the Vahlen-Capelli criterion, we also have  $\sqrt{-1} \in k_*$ . This is not possible. Hence, there is no such  $\bar{\alpha}$  in  $A$ . This proves every element of  $A$  have order less than 4. Since  $A$  is a cyclic group by Lemma 2, we see  $|A| \leq 2$ . The assertion follows from the formula (4).  $\square$

**4. Degree of a Kummer extension.** Let  $\mu_*$  be the maximal  $p$ -primary torsion subgroup of  $k_*^\times$ . Let  $p^s$  be the order. We have  $s = m_0$  if  $k_*$  contains  $\zeta_{2p}$ . Let  $x$  be an element of  $k_*$  which is not contained in  $k_*^p \cup \mu_*$ . There is a unique integer  $n_0 \geq 0$  satisfying

$$(9) \quad x^{p^s} \in (k_*)^{p^{n_0+s}} - (k_*)^{p^{n_0+s+1}}.$$

We solve the equation  $x^{p^s} = y^{p^{n_0+s}}$ ,  $y \in k_*$  and obtain  $\zeta \in \mu_*$  such that  $x = \zeta y^{p^{n_0}}$  holds. We note  $\zeta \notin \mu_*^p$  if  $n_0 > 0$ . If there is another expression  $x = \zeta_1 y_1^{p^{n_1}}$  for  $\zeta_1 \in \mu_*$  and  $n_1 \geq 0$ , we see  $y^{p^{n_0+s}} = y_1^{p^{n_1+s}}$ .

It follows from (9) that  $n_1$  is not greater than  $n_0$ . We have  $\zeta' = y_1 y^{-1} \in \mu_*$  and  $\zeta = \zeta_1 \zeta'^{p^{n_0}}$  if  $n_0 = n_1$ . Hence, the order of  $\zeta$  is uniquely determined if  $n_0 > 0$ . Based on these observations, we classify elements  $\alpha$  of  $Q_p^H - k_* \cup \mu_* \cup Q_p^{H*}$  into the following three types:

- (i)  $\alpha^{p^n} = a, a^{p^s} \notin k_*^{p^{s+1}}, n_0 = 0.$
- (ii)  $\alpha^{p^n} = \zeta_{p^s}^h a^{p^{n_0}}, a^{p^s} \notin k_*^{p^{s+1}},$   
 $n > n_0 > 0.$
- (iii)  $\alpha^{p^n} = \zeta_{p^s}^h a^{p^{n_0}}, a^{p^s} \notin k_*^{p^{s+1}},$   
 $0 < n \leq n_0.$

We note that the order of  $\bar{\alpha}$  in  $Q_p^H/Q_p^{H*}$  is  $p^n$ ,  $h$  is prime to  $p$  and that  $k_*(\alpha)$  is a subfield of  $k'$  if  $\alpha$  is of the type (iii). We call  $\alpha$  is regular if it is of the type (i) or of the type (ii). If  $\alpha$  is of the type (ii), there are integers  $h_1$  and  $h_2$  which are not divided by  $p$  and satisfy

$$(10) \quad \alpha^{p^{n-n_0}} = \zeta_{p^{s+n_0}}^{h_1} a,$$

$$(11) \quad \alpha^{p^{n-n_0-1}} = \zeta_{p^{s+n_0+1}}^{h_2} \sqrt[p]{a},$$

where  $\sqrt[p]{a}$  is a solution of  $x^p - a$ . Since  $k'/k_*$  is a Galois extension,  $\sqrt[p]{a} \notin k'$  is equivalent to that  $x^p - a$  has no solution in  $k'$ .

**LEMMA 9.** *Let  $\alpha$  be a regular element. We have  $\sqrt[p]{a} \notin k'$  if  $\zeta_{2p} \in k_*$ , or if  $p = 2$ ,  $\sqrt{-1} \notin k_*$  and  $a \notin (\eta_{m_0} \pm 2)(k_*^\times)^2$ .*

*Proof.* When  $\zeta_{2p} \in k_*$ , we have  $k_* = k_*(\zeta_{p^{m_0}})$  and  $k'/k_*$  is a cyclic extension. Since  $k_*(\sqrt[p]{a})$  is of degree  $p$  over  $k_*$ , we have  $k_*(\sqrt[p]{a}) = k_*(\zeta_{2^{m_0+1}})$  if  $\sqrt[p]{a} \in k'$ .

Thereat, there is an integer  $j$  and  $b \in k_*$  such that  $p \nmid j$  and such that  $\sqrt[p]{a} = \zeta_{p^{m_0+1}}^j b$ . This implies  $a^{p^{m_0}} = b^{p^{m_0+1}}$ . Since  $s = m_0$ , this is not true. Therefore, we have  $\sqrt[p]{a} \notin k'$ .

When  $p = 2$  and  $\sqrt{-1} \notin k_*$ , we have  $b \in k_*$  such that  $\sqrt{a} = \sqrt{-1}b$  holds if  $k'_* = k_*(\sqrt{a})$ . This is also not true. Hence, we have  $k'_* \neq k_*(\sqrt{a})$  and  $k_*(\sqrt{-1}, \sqrt{a})$  is a biquadratic extension of  $k_*$ . If  $\sqrt{a} \in k'$ , the biquadratic extension is a subfield of  $k'$ . Since  $k'/k_*$  is not cyclic, we have  $k_0 = \mathbf{Q}(\eta_{m_0})$ . We observe from the Hasse diagram that  $\mathbf{Q}(\zeta_{2^{m_0+1}})$  is a biquadratic extension over  $k_0$ . It follows  $k_*(\sqrt{-1}, \sqrt{a}) = k_*(\zeta_{2^{m_0+1}})$ . Since  $k_*(\zeta_{2^{m_0+1}})$  is generated by  $\sqrt{-1}$  and  $\eta_{m_0+1}$  or by  $\sqrt{-1}$  and  $\xi_{m_0+1}$ , we have  $\sqrt{a}\eta_{m_0+1}^{-1}$  or  $\sqrt{a}\xi_{m_0+1}^{-1}$  is an element of  $k_*$ . This implies  $a \in (\eta_{m_0} + 2)(k_*^\times)^2$  or  $a \in (\eta_{m_0} - 2)(k_*^\times)^2$ . Therefore, if  $a \notin (\eta_{m_0} \pm 2)(k_*^\times)^2$ , we have  $\sqrt{a} \notin k'$ .  $\square$

**PROPOSITION 10.** *Let  $\alpha$  be a regular element. We have  $[k'(\alpha) : k'] = p^{n-n_0}$  if  $\sqrt{a} \notin k'$ .*

*Proof.* Let  $\sqrt[p]{a}$  be a solution of the equation  $x^p - a = 0$  in  $k(\alpha)$  and  $j$  be an integer such that  $\alpha^{p^{n-n_0-1}} = \zeta_{p^{s+n_0+1}}^j \sqrt[p]{a}$  holds. If  $\alpha$  is of type (i), we may choose  $j = p^{s+1}$ . We have  $\alpha^{p^{n-n_0}} \in k'$  and  $\alpha^{p^{n-n_0-1}} \notin k'$ , because of  $\sqrt[p]{a} \notin k'$ . By Vahlen-Capelli criterion,  $x^{p^{n-n_0}} - \alpha^{p^{n-n_0}}$  is irreducible over  $k'$ . We have  $[k'(\alpha) : k'] = p^{n-n_0}$ .  $\square$

We set  $Q'$  to a subgroup of  $Q_p^H$  generated by a regular element  $\alpha$  in the formula (2). Suppose  $\sqrt[p]{a} \notin k'$ . We have  $\beta = \alpha^{p^{n-n_0}}$  is an element of  $k' \cap Q'$  and  $\bar{\beta}$  generates  $A$ . Hence,  $|A| = p^{n_0}$ . It follows from (4) that

$$(12) \quad C'_p(H) = p^{n_0}/[M : k_*],$$

holds, where  $M = k' \cap k_*(\alpha)$ , which coincides with  $k_*(\alpha^{p^{n-n_0}})$ . We have  $C'_p(H) = 1$  if and only if  $x^{p^{n_0}} - \alpha^{p^{n_0}}$  is irreducible over  $k_*$ . If  $\zeta_{2p} \in k_*$  or if  $\alpha$  is of type (i), the equation is irreducible. If  $p = 2$ ,  $\sqrt{-1} \notin k_*$  and if  $\alpha$  is of type (ii), we observe that  $x^2 - \alpha^{2^n}$  is irreducible and that  $x^{2^{n_0}} - \alpha^{2^{n_0}}$  for  $n_0 \geq 2$  is reducible by the Vahlen-Capelli criterion if and only if there is  $b \in k_*$  such that  $4a^{2^{n_0}} = b^4$  holds. Hence,  $x^{2^{n_0}} - \alpha^{2^{n_0}}$  is irreducible for  $n_0 \geq 2$  if and only if  $\sqrt{\pm 2} \notin k_*$ .

**REMARK 2.** Let  $m_0$  be an integer greater than 2. Set  $K$  to a Galois extension  $\mathbf{Q}(\zeta_{2^{m_0(m_0-1)}}, \sqrt[2^{m_0}]{\eta_{m_0}})$  over  $k = \mathbf{Q}(\eta_{m_0})$ . Let  $\sigma$  be an automorphism of  $\mathbf{Q}(\zeta_{2^N})$  defined by  $\zeta_{2^N}^\sigma = \zeta_{2^N}^{1+2^{m_0-1}}$ . We see  $\eta_{m_0}^\sigma = -\eta_{m_0}$ . Hence,  $\sqrt{\eta_{m_0}}^\sigma$  is not real and  $\sqrt{\eta_{m_0}}$  is real. Since  $\mathbf{Q}(\zeta_{2^N})$  is a CM-field, we have  $\sqrt{\eta_{m_0}} \notin \mathbf{Q}(\zeta_{2^N})$ . Therefore,  $\sqrt{\eta_{m_0}} \notin \mathbf{Q}(\zeta_{2^N})$ . Suppose  $S \supset S_2$ .  $\alpha = \sqrt[2^{m_0}]{\rho_{m_0-1}}$  is an element of  $Q_2$  such that  $\alpha^{2^{m_0(m_0-1)}} = -\eta_{m_0}^{2^{m_0-1}}$  and  $\eta_{m_0}^2 \notin k^4$ . Put  $G_* = G$ ,  $H = \text{Gal}(K/k(\alpha))$ . We see  $M = k_*(\zeta_{2^{m_0}})$  from (11). Hence,  $C'_2(H) = 2^{m_0-2}$  from the formula (12). We note  $\sqrt{2} \in \mathbf{Q}(\eta_{m_0})$ .



**5. Posets of subfields.** The set consisting of every intermediate fields of  $k_*(\alpha)/k_*$  is a set equipped with partial order which inclusion defines. The structure of this poset influences the value of  $C'_p(H)$  when  $Q'$  is a subgroup of  $Q_p^H$  generated by  $\alpha$ . We study its structure in this section. Denote by  $I_{L/k_*}$  the poset of intermediate fields for an extension  $L/k_*$ .

**PROPOSITION 11.** *Suppose  $\zeta_{2p} \in k_*$  and  $x^{p^n} - a$  is irreducible over  $k_*$ . Let  $\alpha$  be a root of  $x^{p^n} - a$ . Then, we have  $I_{k_*(\alpha)/k_*} = \{k_*(\alpha^{p^i}) : 0 \leq i \leq n\}$ , which is totally ordered set.*

*Proof.* By the Vahlen-Capelli criterion,  $x^{p^{n-i}} - a$  is irreducible for each  $0 \leq i \leq n$ . Hence,  $[k_*(\alpha^{p^i}) : k_*] = p^{n-i}$ . This implies  $k_*(\alpha^i) \neq k_*(\alpha^j)$  if  $i \neq j$ . The assertion is obvious when  $n = 1$ . We prove by induction. Let  $n \geq 2$ . Suppose there is a maximal intermediate field  $M$  in  $k_*(\alpha)/k_*$  which is different from  $k_*(\alpha^p)$ . We see  $L = M \cap k_*(\alpha^p)$  is a proper subfield of  $k_*(\alpha^p)$ . Hence, we have  $L = k_*(\alpha^m)$  for  $m \geq 2$  by hypothesis of induction. Let  $t_0$  be the minimum of integers  $t \geq 0$  such that  $\alpha^{p^t} \in M$ . We see  $2 \leq t_0 \leq m$  and  $\alpha^{p^{t_0}} \in M \cap k_*(\alpha^{p^2}) \subset L$ . Thus,  $[k_*(\alpha^{p^{t_0}}) : k_*] \leq [k_*(\alpha^{p^m}) : k_*]$ . We have  $t_0 \geq m$ . Therefore,  $t_0 = m$ . If  $x^{p^m} - \alpha^{p^m}$  is irreducible over  $M$ , we have  $p^m = [k_*(\alpha) : M] \leq [k_*(\alpha) : L] \leq p^m$ . This implies  $M = L$ . This is not the case. Hence,  $x^{p^m} - \alpha^{p^m}$  must be reducible

over  $M$ . By the Vahlen-Capelli criterion, there is  $y \in M$  such that  $\alpha^{p^m} = y^p$ . We have  $\alpha^{p^{m-1}}$  belongs to  $M$ , because of  $\zeta_p \in M$ . This contradicts the definition of the number  $m$ . We conclude that the maximal subfield  $M$  does not exist in  $k_*(\alpha)$ . Therefore, an intermediate field of  $k_*(\alpha)/k_*$  is a subfield of  $k_*(\alpha^p)$  if it does not coincide with  $k_*(\alpha)$ . This proves the proposition.  $\square$

Now, we assume  $p = 2$  and  $k_* \not\cong \sqrt{-1}$ . Let  $\alpha$  be an element such that

$$(13) \quad \alpha^{2^n} = -a^{2^{n_0}}, a^2 \notin k_*^4, n > n_0 \geq 1.$$

We see  $k_*(\alpha) \ni \sqrt{-1}$ . Let  $l$  be the minimum of integers  $t \geq 0$  such that  $\alpha^{2^t} \in k'_* = k_*(\sqrt{-1})$  holds. Since  $\alpha^{2^{l-1}} \notin k'_*$ , we have  $x^{2^l} - \alpha^{2^l}$  is irreducible over  $k'_*$  by the Vahlen-Capelli criterion. Hence,  $k_*(\alpha)$  is of degree  $2^l$  over  $k'_*$ . Let  $M$  be a maximal intermediate field of  $k_*(\alpha)/k_*$  which does not contain  $\sqrt{-1}$ . By virtue of Proposition 11, we have an integer  $m$  such that  $0 \leq m \leq l$  and  $M(\sqrt{-1}) = k'_*(\alpha^{2^{l-m}})$  hold. Put  $K_i = k'_*(\alpha^{2^{l-i}})$  and  $M_i = K_i \cap M$  for  $0 \leq i \leq m$ . We see  $M_m = M$ ,  $M_0 = k_*$  and  $K_m = M_m(\sqrt{-1})$ . Since  $M_i(\sqrt{-1})$  is a quadratic extension of  $M_i$  contained in  $K_i$ , we have  $[K_i : M_i] \geq 2$ . Put  $\alpha_0 = \alpha^{2^{l-m}}$ . There is a conjugate element  $\beta_0$  over  $M$  of  $\alpha_0$  in  $k'_*(\alpha_0)$ . We notice that  $\beta_0^{2^m}$  belongs to  $k'_*$ , because  $k'_*$  is a Galois extension of  $k_*$  and  $\alpha_0^{2^m}$  is an

element of  $k'_*$ . Hence,  $x^{2^i} - \beta_0^{2^m}$  is conjugate to  $x^{2^i} - \alpha_0^{2^m}$  over  $k_*$ . We have  $[k'_*(\beta_0^{2^{m-i}}) : k'_*] = 2^i$  from irreducibility of  $x^{2^i} - \alpha_0^{2^m}$ . It follows from Proposition 11 that  $k'_*(\beta_0^{2^{m-i}})$  is consistent with  $k'_*(\alpha_0^{2^{m-i}})$ . Set  $\gamma_i = \alpha_0^{2^{m-i}} + \beta_0^{2^{m-i}}$  and  $\delta_i = (\alpha_0\beta_0)^{2^{m-i}}$ . We have  $\gamma_i, \delta_i \in M_i$ , because  $\gamma_i$  and  $\delta_i$  belong to  $M$ . Since  $\alpha_0^{2^{m-i}}$  is a root of a quadratic equation  $x^2 - \gamma_i x + \delta_i = 0$ , we obtain  $[K_i : M_i] \leq 2$ , and hence  $K_i/M_i$  is a quadratic extension.

PROPOSITION 12.  $I_{M/k_*}$  is totally ordered.

*Proof.* We have  $[M_i : M_{i-1}] = 2$ , because

$$\begin{aligned} [K_i : M_{i-1}] &= [K_i : M_i][M_i : M_{i-1}] \\ &= [K_i : K_{i-1}][K_{i-1} : M_{i-1}] \end{aligned}$$

holds. Suppose there is a maximal intermediate field  $M'$  in  $M_i/k_*$  which is different from  $M_{i-1}$ . We see  $M'(\sqrt{-1}) \subseteq K_i$ . Since the poset  $I_{k_*(\alpha)/k_*}$  is totally ordered by Proposition 11,  $M'(\sqrt{-1})$  must be contained in  $K_{i-1}$  if  $M'(\sqrt{-1}) \neq K_i$ . Furthermore,  $M' \subseteq M_{i-1}$  follows from  $M'(\sqrt{-1}) \subseteq K_{i-1}$ . This is not true. We have  $M'(\sqrt{-1}) = K_i$ . Nevertheless, there exists the following contradiction:

$$[K_i : M'] = [K_i : M_i][M_i : M'] \geq 4,$$

$$[K_i : M'] = [M'(\sqrt{-1}) : M'] = 2.$$

Therefore, such maximal intermediate field  $M'$  does not exist.  $M_{i-1}$  is a unique maximal intermediate field of  $M_i/k_*$ . This proves  $I_{M/k_*}$  is totally ordered,  $\square$

When  $\alpha$  is of the type (i) for  $p = 2$ , we have  $\sqrt{-1} \notin k_*(\sqrt{a})$ . If  $x^{2^n} - a$  is reducible over  $k'_* = k_*(\sqrt{-1})$ , there is  $\beta \in k'_*$  such that  $\beta^2 = a$ . Since  $\beta \in K_*(\sqrt{a})$ , we obtain  $k_*(\beta) \subseteq k'_* \cap k_*(\sqrt{a})$ . Hence,  $\beta \in k_*$ . This implies that  $\alpha$  is not of the type (i). We conclude  $x^{2^n} - a$  is irreducible over  $k'_*$ . Let  $M$  be an intermediate field of  $k_*(\alpha)/k_*$ . By Proposition 11, there is an integer  $m$  such that  $0 \leq m \leq n$  and  $M(\sqrt{-1}) = k'_*(\alpha^{2^m})$ . Let  $\sigma$  be a generator of the Galois group of  $k'_*(\alpha)/k_*(\alpha)$ .  $\sigma$  also generates  $Gal(M(\sqrt{-1})/M)$  and  $Gal(k'_*(\alpha^{2^m})/k_*(\alpha^{2^m}))$ . Hence,  $M = k_*(\alpha^{2^m})$ . We notice that there is a bijection between  $I_{k'_*(\alpha)/k'_*}$  and  $I_{k_*(\alpha)/k_*}$ . Therefore, we have  $I_{k_*(\alpha)/k_*} = \{k_*(\alpha^{2^i}) : 0 \leq i \leq n\}$ .

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