

# Detecting motor unit abnormalities in amyotrophic lateral sclerosis using high-density surface EMG

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1 **Title:** Detection of Motor Unit in Amyotrophic Lateral Sclerosis Using High-Density Surface

2 Electromyography

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22 **Running Title:** Quantitative assessment of MU behavior in ALS

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28

29 **Keywords**

30 Amyotrophic lateral sclerosis, electromyography, motor unit recruitment

31

32 **Highlights**

- 33 ● The establishment of a quantitative assessment tool for diagnosis of ALS is an important  
34 clinical issue.
- 35 ● People with ALS had higher motor unit firing rate than age-matched control subjects.
- 36 ● The motor unit firing rate at recruitment was useful for differentiating people with ALS  
37 from control subjects.

38 **Abstract**

39 **Objective:** The purpose of this study was to detect specific motor unit (MU) abnormalities in  
40 people with amyotrophic lateral sclerosis (ALS) compared to controls using high-density  
41 surface electromyography (HD-SEMG).

42 **Methods:** Sixteen people with ALS and 16 control subjects. The participants performed ramp  
43 up and sustained contractions at 30% of their maximal voluntary contraction. HD-SEMG  
44 signals were recorded in the vastus lateralis muscle and decomposed into individual MU  
45 firing behavior using a convolution blind source separation method.

46 **Results:** In total, 339 MUs were detected (people with ALS;  $n=93$ , control subjects;  $n=246$ ).  
47 People with ALS showed significantly higher mean firing rate, recruitment threshold,  
48 coefficient of variation of the MU firing rate, MU firing rate at recruitment, and motoneurons  
49 excitability than those of control subjects ( $p<0.001$ ). The number of MU, MU firing rate,  
50 recruitment threshold, and MU firing rate at recruitment were significantly correlated with  
51 disease severity ( $p<0.001$ ). Multivariable analysis revealed that an increased MU firing rate at  
52 recruitment was independently associated with ALS.

53 **Conclusions:** These results suggest increased excitability at recruitment, which is consistent  
54 with neurodegeneration results in a compensatory increase in MU activity.

55 **Significance:** Abnormal MU firing behavior provides an important physiological index for  
56 understanding the pathophysiology of ALS.

## 57 **1. Introduction**

58           Amyotrophic lateral sclerosis (ALS) is a progressive neurodegenerative disorder of  
59 motoneurons, and its prevalence in Japan is reported to be 9.9 per 100,000 people (Doi *et al.*,  
60 2014). ALS is characterized by selective motoneuron death that develops within weeks or  
61 months (van Es *et al.*, 2017). However, the mechanisms of neurodegeneration in ALS are not  
62 fully understood, and biomarkers reflecting the disease condition have not yet been  
63 established. Given the involvement of motoneurons, pathology in motor unit (MU) firing rates  
64 is a strong candidate biomarker, as common signs of ALS include increased MU firing rates  
65 as well as unstable and polyphasic MUAPs on needle electromyography (EMG) due to a  
66 decreased number of MUs (EMG) (de Carvalho *et al.*, 2008).

67           The MU is the basic functional unit of the neuromuscular system and is composed of  
68 motoneurons, including their dendrites and axons, and the muscle fibers innervated by the  
69 axons (Duchateau and Enoka, 2011). In ALS, degeneration of lower motoneurons leads to  
70 denervation of muscle fibers, resulting in abnormal MU firing behavior (prolonged and  
71 polyphasic) (Kiernan *et al.*, 2011). These findings are usually obtained by needle EMG, but  
72 this method depends on the experience and skill of the examiner, as the morphology of the  
73 MU varies greatly depending on the position of the needle electrode and the effort to get the  
74 needle in a good position causes sampling bias. Consequently, inexperienced physicians may  
75 produce a false-negative evaluation. Several studies have quantitatively evaluated MUAP

76 morphology, mobilization pattern, and interference pattern (Fuglsang-Frederiksen and  
77 Rønager, 1990; Kurca and Drobný, 2000; Sanders et al., 1996), but no objective quantitative  
78 evaluation is available for actual clinical practice. Therefore, it would be useful to establish  
79 and disseminate a quantitative EMG method other than needle EMG.

80           Recently, there have been several papers on noninvasive methods for MU  
81 identification in control subjects and in patients with different diseases using high-density  
82 surface EMG (HD-SEMG) decomposition (Mazzo et al., 2021; Nishikawa et al., 2021a,  
83 2021b; Nuccio et al., 2021; Watanabe et al., 2021). HD-SEMG with more than 60 surface  
84 electrodes allows this technique to increase the number of detectable MUs compared to  
85 intramuscular EMG and to investigate detailed MU firing characteristics, such as the  
86 relationship of firing rates between MUs with different recruitment thresholds (Holobar et al.,  
87 2009; Martinez-Valdes et al., 2017; Merletti et al., 2008). It is possible to accurately analyze  
88 how MU populations respond to pathological conditions by employing HD-SEMG  
89 (Castronovo *et al.*, 2017). Although a previous study evaluated MU activity by HD-SEMG in  
90 people with ALS (Weddell *et al.*, 2021), there are still many unknown factors about the  
91 disease-specific abnormalities of MU firing behavior. Weddell et al. reported that in the later  
92 stage of ALS, MUs recruited at lower force levels in the biceps brachii muscle adopt a faster  
93 phenotype, revealing compensatory changes in MUs (Weddell *et al.*, 2021). Furthermore, an  
94 animal study has shown that ALS model mice already exhibit aberrations in motoneuron

95 function (increased firing rates and persistent inward currents (PICs)) in the early and  
96 probably presymptomatic stage of the disease (Jensen et al., 2020). According to the report,  
97 the presence of motoneuron aberrations in people with early-stage ALS may indicate an  
98 increased MU firing rate. In addition, Piotrkiewicz et al. reported that the duration of the  
99 afterhyperpolarization (AHP) in motoneurons was significantly lower in ALS patients than in  
100 control subjects as measured by needle EMG (Piotrkiewicz and Hausmanowa-Petrusewicz,  
101 2011). A reduced AHP duration is expected to increase the variability in the MU firing rate  
102 because the firing times of motoneurons are sensitive to noise in motor commands. However,  
103 the characteristics of abnormal MU activity in people with early-stage ALS compared with  
104 control subjects have not been elucidated using HD-SEMG. Furthermore, parameters useful  
105 for diagnosis have not been identified.

106         Our study examined the use of HD-SEMG to detect disease-specific changes in MUs  
107 in the vastus lateralis (VL) muscle of people with ALS. We hypothesized that HD-SEMG  
108 could be used to detect an abnormal MU firing rate in people with ALS and that abnormalities  
109 in MU firing behavior are correlated with metrics of disease severity. The results of this study  
110 will support the use of HD-SEMG as a quantitative non-invasive method for the diagnosis and  
111 evaluation of ALS disease progression.

112

## 113 **2. Materials and methods**



## 114 2.1. *Subjects*

115           Sixteen people with ALS and 16 control subjects were enrolled in this study after  
116 signing an informed consent form. The study protocol and procedures were approved by  
117 Kanazawa University's Committee on Ethics in Research (approval number No. 77-2) and  
118 conformed to the requirements of the Declaration of Helsinki. The inclusion criteria were a  
119 diagnosis of ALS, confirmed signs of successful recording from VL motoneurons by needle  
120 EMG, and the ability to walk without assistance. The Awaji criteria were used to diagnose  
121 ALS (Costa et al., 2012). People with the following conditions were not included: lower  
122 extremity injury, dementia, myositis, spinal muscular atrophy, and dystonia. The inclusion  
123 criteria for control subjects were consent to participate in the study and appropriate age  
124 matching. The exclusion criteria for control subjects were orthopedic diseases and  
125 neurological diseases. Each person with ALS underwent an ALS Functional Rating Scale-  
126 Revised (ALSFRS-R) test with the same neurologist (H.U.). The ALSFRS-R consists of 12  
127 items (on a 5-point scale ranging from 0 to 4), including speech, swallowing, gait, and other  
128 activities. The total symptom severity score ranges from 0 to 48, with lower values indicating  
129 more severe symptoms.

## 130 2.2. *Experimental protocols*

131           Experimental protocols were performed according to the same procedure used in a  
132 previous study (Nishikawa *et al.*, 2021a). During the examination, all participants were seated

133 in a custom-made dynamometer (TSA-110, Takei Scientific Instruments. Niigata, Japan),  
134 with the hip and knee joint fixed at 90° of flexion (full extension at 0°) (Fig. 1A). We  
135 captured the force signals using an analog-to-digital converter (Quattrocento, OT  
136 Bioelettronica, Turin, Italy) at a frequency of 2048 Hz. All participants were asked to perform  
137 the maximal knee extension force in two trials for each limb, with 2 minutes between trials  
138 and a warm-up of 10 minutes. In the maximum voluntary contraction (MVC), the participant  
139 gradually increased the torque exerted by the knee extensor muscles from zero to maximum  
140 over a period of three seconds and held the maximum torque for two seconds (Nishikawa *et*  
141 *al.*, 2019). The peak torque was selected as the target torque level of the submaximal ramp-up  
142 contraction task. After a rest period of at least 10 minutes from the MVC measurement, all  
143 participants underwent EMG measurement. HD-SEMG signals from the VL muscle were  
144 recorded on the more severely side (weaker muscle strength) in the participants with ALS and  
145 the dominant side (self-reported when asked which leg is used to kick ball) in control  
146 participants. Participants were asked to perform the ramp and hold contraction task (ramp up  
147 to 30% MVC in 15 sec, sustained contraction for 15 sec, and ramp down in 15 sec: Fig. 1B).  
148 The subjects received real-time visual feedback of their force, which was displayed on a  
149 monitor.

150 "Place Figure 1 around here."

151 2.3. *EMG recording*

152 An array of 64 multiple electrodes (1 mm in diameter, 8 mm between electrodes,  
153 GR08MM1305, OT Bioelettronica) was used to record HD-SEMG signals from the VL  
154 muscle using the same procedure used in previous studies (Nishikawa et al., 2017b, 2017a;  
155 Watanabe et al., 2012). The positioning of the electrode was determined by previous studies  
156 (the center of the electrode was placed at the midpoint between the head of the greater  
157 trochanter and the lateral edge of the patella; Fig. 1D) (Nishikawa et al., 2021b, 2018, 2017b).  
158 After skin cleaning (80% alcohol), the electrode grid was attached to the muscle surface using  
159 a biadhesive sheet (KIT08MM1305, OT Bioelettronica) with conductive paste (Elefix ZV-  
160 181E, NIHON KOHDEN, Tokyo, Japan) (Nishikawa *et al.*, 2017b). A ground electrode was  
161 placed on the patella. The monopolar HD-SEMG signals were recorded using a 16-bit analog-  
162 to-digital converter (Quattrocento, OT Bioelettronica, sampling frequency at 2048 Hz),  
163 amplified with a gain of 150, and off-line bandpass filtered 10–500 Hz. The force and EMG  
164 signals were analyzed by MATLAB software (MATLAB 2021b, Math Works GK, MA,  
165 USA).

#### 166 2.4. *Data processing*

167 A validated convolutive blind source separation technique was used to separate the  
168 HD-SEMG recordings into individual MU discharge timings (Holobar et al., 2009; Holobar  
169 and Zazula, 2004; Merletti et al., 2008). After decomposition analysis, all identified MU spike  
170 trains were manually verified by one investigator (Y.N.). Interspike intervals (ISI) < 33.3 or >

171 250 ms (firing rate > 30 and < 4 Hz, respectively) (Holobar *et al.*, 2009; Watanabe *et al.*,  
172 2016) as well as low-quality MU spike trains (pulse-to-noise ratio < 30 dB corresponding to  
173 MU firing identification accuracy < 90%) (Holobar *et al.*, 2014) were excluded. We  
174 calculated the instantaneous firing rates (pulse per second, pps) of identified MUs from the  
175 time interval between spikes. Afterward, the mean firing rate of the identified MUs was  
176 calculated during ramping up and holding of the contraction (30 s in total). We defined the  
177 coefficient of variation (CV) of the MU firing rate as the ratio of standard deviations and the  
178 mean values of the MU firing rates. Further analysis did not include the MU firing rates with  
179 > 30% CV (Fuglevand *et al.*, 1993). The MU recruitment threshold (RT) was defined as the  
180 force level (%MVC) at the first firing of each MU (Fig. 2A). Detected MUs were classified  
181 into three subgroups for each RT (MU10, <10% MVC; MU20, 10%–20% MVC; and MU30,  
182 20%–30% MVC; Fig. 2B) (Watanabe *et al.*, 2016). We further divided them into two  
183 subgroups using the absolute value of knee extension torque to compare the MU firing rate as  
184 a function of the actual torque being generated. The two subgroups were divided as follows:  
185 MU10Nm group, MUs recruited with less than 10 Nm; MU20Nm group, MUs recruited at  
186 forces between 10 Nm and 20 Nm.

187 “Place Figure 2 around here.”

188 To estimate PICs, we calculated  $\Delta F$ .  $\Delta F$  is calculated as the difference between the  
189 smooth firing rate of the reference MU (using a 2-s Hanning window) and the recruitment-to-

190 derecruitment interval of the test MU (Gorassini et al., 2002) (Fig. 3A and B). The inclusion  
191 criteria of  $\Delta F$  values from MUs were that (1) the test MU was recruited at least 1 s after the  
192 reference MU to ensure full activation of the PIC, (2) the test MU was derecruited at least 1.5  
193 s before the reference MU in order to prevent overestimation of  $\Delta F$ , and (3) the duration of the  
194 firing of the test unit was greater than 2 s (Hassan et al., 2020, 2021).

195 We demonstrated the evaluation of motoneuron AHP duration by the analysis of their  
196 ISI values. Previous study reported that the ISI, which corresponds to transitions between the  
197 two ranges (transition interval, TI), is associated with the duration of AHP (Piotrkiewicz,  
198 1999). The absolute value of each adjacent ISI difference is derived as follows:  
199 *Consecutive interval difference* (CD)<sup>2</sup> =  $|ISI_{i+1} - ISI_i|$ . CD<sup>2</sup> was plotted against the  
200 mean ISI duration calculated from the same two intervals:  $MISI = (ISI_{i+1} + ISI_i)/2$   
201 (Piotrkiewicz and Hausmanowa-Petrusewicz, 2011). Furthermore, we averaged mean CD<sup>2</sup>  
202 data (CD<sub>m</sub>) and MISI values over an interval of 10 ms. An example of a plot of CD<sub>m</sub> vs. MISI  
203 is shown Fig. 3C. The short- and long-interval sections of TI are estimated based on a  
204 previous study (Piotrkiewicz et al., 2007).

205 "Place Figure 3 around here."

## 206 2.5. Statistical analysis

207 We performed all statistical analyses using Stata version 17 (Stata Corp LLC, Texas,  
208 USA). All data were checked for normality of distribution using the Shapiro–Wilk test. An

209 unpaired t-test was used to compare participants with ALS and control subjects based on age,  
210 height, body mass, thickness of subcutaneous tissue, knee extension torque, knee extension  
211 torque/body mass, RT, CV of the MU firing rate, MU firing rate at recruitment,  $\Delta F$ , and TI.  
212 Analysis of covariance was performed to compare the number of MUs between participants  
213 with ALS and control subjects; the dependent variable was the number of MUs, the fixed  
214 factor was the group (ALS or control), and the covariate was the thickness of the  
215 subcutaneous tissue. An analysis of the mean MU firing rate was conducted using a mixed-  
216 effect model with a random intercept and a random slope. There were two explanatory  
217 variables: the group (ALS or control) and MU subgroups (e.g., MU10, MU20, MU30,  
218 MU10Nm, and MU20Nm). The association between the mean MU firing rate and the RT  
219 and/or absolute torque in participants with ALS and healthy control subjects were computed  
220 using Pearson's correlation coefficient. Furthermore, in the ALS group, Pearson's correlation  
221 coefficient was used to determine the relationship between ALSFRS-R scores or knee  
222 extension torque/body mass and the mean MU firing rate and the MU firing rate at  
223 recruitment. The association between the ALSFRS-R and the number of MUs was analyzed  
224 using partial correlation coefficients with the thickness of the subcutaneous tissue as a control  
225 variable. To clarify factors related to the diagnosis of ALS, multiple logistic regression  
226 analysis was conducted with ALS diagnosis and control status as the dependent variables and  
227 outcomes that showed significant differences in the univariate analysis as the independent

228 variables. Furthermore, the outcome selected by multiple logistic regression analysis was  
229 calculated as the area under the curve (AUC), specificity, and sensitivity of the outcome by  
230 receiver operating characteristic (ROC) curve, and the Youden's index was used to calculate  
231 the cutoff value. The significance level was set at a *p value* < 0.05.

232

### 233 **3. Results**

#### 234 *3.1. Participant characteristics*

235 The characteristics of the participants are shown in Table 1. The age, height, and  
236 thickness of the subcutaneous tissue of the participants did not differ between the groups (*p* =  
237 0.0585, *p* = 0.1051, and *p* = 0.6888, respectively), while weight, knee extension torque, and  
238 knee extension torque/body mass were significantly lower in people with ALS than in control  
239 subjects (*p* = 0.0072, *p* < 0.0001, and *p* < 0.0001, respectively).

240 "Place Table 1 around here."

#### 241 *3.2. MU decomposition*

242 A total of 339 MUs were accepted for data processing (people with ALS; *n* = 93,  
243 control subjects; *n* = 246). The detected number of MUs was significantly lower in people  
244 with ALS than in control subjects according to analysis of covariance (*p* < 0.0001). The  
245 number of MUs detected in the MU subgroups was as follows: MU10, *n* = 60 (people with  
246 ALS; *n* = 16, control subjects; *n* = 44), MU20, *n* = 156 (people with ALS; *n* = 27, control

247 subjects;  $n = 129$ ), MU30,  $n = 123$  (people with ALS;  $n = 50$ , control subjects;  $n = 73$ ),  
248 MU10Nm,  $n = 114$  (people with ALS;  $n = 65$ , control subjects;  $n = 49$ ), MU20Nm,  $n = 155$   
249 (people with ALS;  $n = 26$ , control subjects;  $n = 129$ ) (Fig. 3).

250 "Place Figure 4 around here."

### 251 3.3. *Properties of MUs*

252 In people with ALS, the values of RT, CV of the MU firing rate, MU firing rate at  
253 recruitment, and  $\Delta F$  were significantly higher than in control subjects, whereas TI was  
254 significantly reduced in people with ALS ( $p < 0.01$  for each comparison; Fig. 4 and 5).  
255 Analysis of the mean MU firing rate at the MU subgroups (MU10, MU20, MU30, MU10Nm,  
256 and MU20Nm) showed that people with ALS had a significantly higher MU firing rate than  
257 control subjects ( $p < 0.0001$ , respectively, Fig. 6). Furthermore, the firing rate of the MUs in  
258 the MU30 group of control subjects was significantly lower than the rates of those in the  
259 MU10 and MU20 groups ( $p < 0.0001$  and  $p = 0.0021$ , respectively), and the firing rate of the  
260 MUs in the MU20 group was significantly lower than that of those in the MU10 group ( $p =$   
261  $0.0009$ , Fig. 6A). The MU firing rate was also significantly lower in the MU20Nm group than  
262 in the MU10Nm group ( $p < 0.0001$ , Fig. 6B). On the other hand, significant differences  
263 among the MU subgroups were not observed in people with ALS (Fig. 6A and B).

264 "Place Figure 5 around here."

265 "Place Figure 6 around here."



266 The mean MU firing rate was significantly related to the RT in the control group ( $r =$   
267  $-0.6381, p < 0.0001$ ) but not people with ALS ( $r = -0.1356, p = 0.1949$ , Fig. 7A and B).  
268 Absolute torque was significantly related to the mean MU firing rate in control subjects ( $r =$   
269  $-0.6788, p < 0.0001$ ) but not people with ALS ( $r = -0.1781, p = 0.0877$ , Fig. 7C and D). In  
270 people with ALS, ALSFRS-R scores were significantly related to the number of MUs ( $r =$   
271  $0.6708, p = 0.0062$ ), RT ( $r = -0.5653, p = 0.0225$ ), mean MU firing rate ( $r = -0.6369, p =$   
272  $0.0080$ ), and MU firing rate at recruitment ( $r = -0.5034, p = 0.0468$ , Fig. 8). The knee  
273 extension torque/body mass did not significantly correlate with the number of MUs ( $r =$   
274  $-0.08527, p = 0.7535$ ), RT ( $r = -0.1728, p = 0.5221$ ), mean MU firing rate ( $r = 0.1732, p =$   
275  $0.5212$ ), or MU firing rate at recruitment ( $r = 0.3210, p = 0.2254$ ).

276 "Place Figure 7 around here."

277 "Place Figure 8 around here."

278 In the univariate analysis, significant differences between participants with ALS and  
279 controls were found for the MU firing rate at recruitment and RT values ( $p = 0.007$  and  $p =$   
280  $0.042$ , respectively), which were used as dependent variables in the multivariable logistic  
281 regression analysis. Multivariable analysis revealed that an increased MU firing rate at  
282 recruitment was independently associated with ALS (odds ratio = 23.26, 95% CI = 1.44–  
283 376.53,  $p = 0.027$ ). When the probability of ALS positivity is  $P$ , the model equation by  
284 logistic regression can be written as follows.

285

286

$$\ln \frac{P}{1-P} = 2.25146 \times (\text{MU firing rate at the RT}) - 16.20322$$

287

288

The cutoff value of the MU firing rate at recruitment calculated from the ROC curve

289

was 7.368 (sensitivity = 81.25%, specificity = 93.75%, AUC = 0.9258, Fig. 9). The positive

290

predictive value using this cutoff value was 87.5%.

291

“Place Figure 9 around here.”

292

#### **4. Discussion**

293

This study investigated the detection of disease-specific abnormal MU behavior in

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people with ALS using the HD-SEMG method. We found that people with ALS exhibited (1)

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a small number of detected MUs, (2) overactive MUs at each contraction level, and (3) high

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variability in MU firing behavior compared with control subjects. These findings confirm that

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HD-array data are consistent with those obtained with needle EMG. Furthermore, it was

298

found that the MU firing rate at recruitment could be a useful index for detecting ALS. This

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finding may reflect the existence of ALS-specific MU abnormalities, which is the main novel

300

result of this study. These findings supported our hypothesis that the HD-SEMG method can

301

noninvasively detect disease-specific MU dysfunction in people with ALS. In general,

302

abnormal MU behavior detected by needle EMG in people with ALS is characterized by a

303

reduced number of MUs and a large MUAP amplitude, which can be used only to

304 differentiate the disease from myogenic diseases; additionally, this method is invasive and  
305 therefore causes significant pain to the patient. Consequently, this study focused on the  
306 identification of abnormalities in MU firing behavior using HD-SEMG, which is a  
307 noninvasive method that can be applied to people with ALS without requiring them to endure  
308 any pain.

309           Fast-twitch MUs are especially vulnerable to degeneration in people with ALS, while  
310 slow-twitch MUs are more affected by compensatory reinnervation of denervated MUs (Frey  
311 *et al.*, 2000; Pun *et al.*, 2006). Therefore, a decrease in the number of MUs is a known  
312 electrophysiological finding in ALS with neurogenic degeneration (van Es *et al.*, 2017). The  
313 HD-array showed that the number of MUs detected was significantly lower in people with  
314 ALS than in control subjects, consistent with these previous findings. However, it should be  
315 noted that protocol of the present study uses only 30% MVC and therefore does not  
316 encompass all MUs.

317           We found that the firing rates of MUs in all MU subgroups were significantly higher  
318 in people with ALS than in control subjects. Notably, people with ALS are known to have a  
319 reduced number of MUs due to degeneration of motoneurons, such that the unaffected  
320 motoneurons compensatively increase their firing rate (Shiga *et al.*, 2000). The results of this  
321 study showed that people with ALS exhibited a significantly small number of MUs. The  
322 number of MUs and their firing rate are important factors in exerting muscle force (Heckman

323 and Enoka, 2012), and the results of this study may reflect the result of compensating for the  
324 decrease in the number of MUs by increasing the firing rate. Importantly, our results also  
325 showed a higher MU firing rate at a low RT (recruited at < 10% MVC and < 10 Nm) in  
326 people with ALS compared with control subjects. Previous studies reported that slow-twitch  
327 MUs are the most resistant to disease, maintaining an ability to reinnervate (Frey et al., 2000;  
328 Hegedus et al., 2008; Pun et al., 2006), suggesting the involvement of a mechanism other than  
329 degeneration of motoneurons. In addition to motoneuron degeneration, there are several  
330 reports of increased motor cortex excitability in people with ALS (Vucic et al., 2013; Vucic  
331 and Kiernan, 2006). According to previous research, increased cortical excitability can be a  
332 result of changes in neurons' intrinsic properties, dysfunctional inhibitory mechanisms, and/or  
333 structural changes leading to behavioral deficits (Sankaranarayani *et al.*, 2014). Furthermore,  
334 SOD1 mice show increased PICs in motoneurons during the early and possibly  
335 presymptomatic stages of disease (Jensen et al., 2020). These findings suggest that there could  
336 be changes in the intrinsic electrical properties of the motoneurons as well as changes in  
337 cortex. We considered that these findings support the results of this study, which confirmed  
338 the overactivity of MUs regardless of the firing threshold.

339           When lower motoneurons die in ALS, fast-twitch MUs are preferentially  
340 degenerated, and surrounding slow-twitch MUs compensate by reinnervating (Frey et al.,  
341 2000; Hegedus et al., 2008; Pun et al., 2006). We found that people with ALS exhibited a

342 significant increase in RT compared with control subjects. This increase is consistent with a  
343 greater degree of rate modulation in MUs that have relatively larger forces due to the onset of  
344 reinnervation. AHPs and PICs are known to be important factors that affect the firing  
345 frequency of motoneurons and the excitability of spinal motoneurons (Jensen et al., 2020;  
346 Kuo et al., 2004). Previous studies reported that an increase in PICs is responsible for the  
347 increase in motoneurons excitability (Kuo et al., 2004), while a decrease in AHPs is  
348 responsible for the increase in firing frequency (de Carvalho and Swash, 2016). In this study,  
349 the TI and  $\Delta F$  methods were used to estimate AHPs and PICs, respectively, and the results  
350 showed that people with ALS exhibited greater  $\Delta F$  and lower TI than control subjects. These  
351 findings suggested that the mechanism of greater rate modulation in MUs consisted of greater  
352 current-to-frequency gain in motoneurons, caused by a reduction in AHPs and/or an increase  
353 in PICs. Furthermore, a reduction in AHP amplitudes would contribute to the increased CV of  
354 the firing rate, as a shorter AHP duration would make motoneuron firing times more sensitive  
355 to noise in motor commands (Matthews, 1996). This finding is in accordance with the results  
356 of the present study, showing that people with ALS exhibit a greater CV of the mean MU  
357 firing rate than control subjects. Although there has been a report of progressive  
358 hyperexcitability followed by hypoexcitability of the spinal motoneurons until the onset of  
359 denervation (Martínez-Silva et al., 2018), and there is controversy over the excitability level  
360 of spinal motoneurons in the early stage of ALS, our results support the findings of Huh et al.

361 showing that excitability increases in onset of denervation period in ALS model mice (Huh et  
362 al., 2021). Huh et al. also report a decrease in spinal cord excitability as symptoms progress  
363 (Huh et al., 2021). Although our study only included subjects in the early stages of disease  
364 onset, we consider that it would be important to include subjects at different stages of disease  
365 in the future studies to examine serial changes in spinal excitability.

366           Motoneuron firing rates and thresholds are correlated at any specified force level;  
367 thus, the RT is determined by the input resistance of the cell and number of leak channels (De  
368 Luca and Hostage, 2010). The MU firing behavior in the control group exemplifies the neural  
369 control scheme referred to as the "onion skin" control scheme (De Luca and Erim, 1994; De  
370 Luca and Hostage, 2010; de Souza et al., 2018), which states that early recruited MUs have a  
371 higher mean MU firing rate than later recruited MUs and maintain that higher MU firing rate  
372 over time. On the other hand, the MU firing behavior in the ALS group did not follow the  
373 onion skin scheme, and there was no relationship between the MU firing rate and the RT. The  
374 MU firing rate was significantly higher in people with ALS in all MU subgroups, confirming  
375 a tendency for later recruited MUs to be more active, as shown in Fig. 7B. A previous study  
376 attempted to examine the RT of MUs in people with ALS, but visual inspection was  
377 performed, and the RT could not be used as a useful index (de Carvalho *et al.*, 2012). It is  
378 difficult to visually confirm the recruitment of MUs, but in this study, the RTs of MUs can be  
379 easily identified by synchronization with force data. The RT of an MU is an important

380 physiological indicator in people with ALS, especially in muscles with predominantly fast-  
381 twitch MUs (Frey *et al.*, 2000; Pun *et al.*, 2006), because it reflects the characteristics of the  
382 MU activation properties (e.g., slow-twitch or fast-twitch MUs) (Gregory and Bickel, 2005).  
383 Our findings reaffirm the importance of the RT of MUs as one of the indicators of abnormal  
384 MU firing behavior in people with ALS.

385           The comparison between the ALSFRS-R score and several MU outcomes (e.g., mean  
386 MU firing rate, RT, CV of the MU firing rate, and MU firing rate at recruitment) revealed that  
387 the MU firing rate is significantly influenced by disease severity. ALS is a rapidly progressive  
388 neurodegenerative disease (van Es *et al.*, 2017). It has been shown in rat models of ALS that  
389 the proportion of muscle fiber types changes from time to time as neurodegeneration  
390 progresses (Kryściak *et al.*, 2014). The correlation of neurodegeneration with abnormal MU  
391 firing patterns is consistent with these observations. On the other hand, there was no  
392 correlation between muscle weakness and abnormal MU firing behavior. This finding  
393 indicates that there is no relationship between the progression of muscle weakness and  
394 abnormal MU firing behavior and suggests that abnormalities in MUs can be detected in the  
395 early phase of ALS. The assessment of MU firing behavior in people with ALS is often used  
396 for diagnostic purposes and rarely for the purpose of tracking long-term changes in the  
397 disease condition. However, our findings suggest that abnormalities in MU firing behavior  
398 directly reflect the pathogenesis of ALS and may be an important biomarker for

399 understanding the progression of signs and symptoms. In particular, our results revealed that  
400 the MU firing rate at recruitment is useful for differentiating disease by multiple logistic  
401 regression analysis. The cutoff value for the MU firing rate at recruitment was calculated to  
402 be  $> 7.660$ , and the ALS detection rate was 87.5% when this cutoff value was used. This  
403 finding was inferred from only one parameter, and we consider that its discriminative  
404 accuracy is relatively high and useful for diagnosis. In addition to pain, an inherent  
405 disadvantage of conventional needle EMG is that the position of the needle electrode must be  
406 changed every time to search for a small electrode region, which could decrease the reliability  
407 of measurement. Furthermore, needle EMG causes the shape of the MUAP to change with the  
408 position of the needle electrode, resulting in the examiner focusing on lesion detection and  
409 resulting sampling bias. By contrast, HD-SEMG can analyze MU firing behavior over a wide  
410 range within a muscle at once, which may reduce sampling bias.

411         Our study has several limitations. First, since this study was a cross-sectional study  
412 and did not examine neurodegeneration longitudinally, it only predicts the process of  
413 neurodegeneration based on differences in the severity of symptoms. In the future,  
414 longitudinal follow-up studies of MU firing behavior in the same patients will clarify how  
415 neural reinnervation due to neurodegeneration affects MUs. This information will provide a  
416 more detailed understanding of the pathogenesis of ALS and provide useful data for clarifying  
417 pathology and new treatment methods. Second, although this study only included subjects



418 who could walk independently, the included patients had significantly lower muscle strength  
419 than the control subjects. Detecting abnormalities in MU firing behavior in the very early  
420 stages of neurodegeneration in ALS, when muscle strength is still preserved, would be a more  
421 useful tool for the early detection and diagnosis of the disease. Finally, we did not perform  
422 comparisons with needle EMG. However, HD-SEMG has been validated extensively in  
423 comparison with needle EMG in previous work, and the usefulness of the HD-SEMG  
424 methodology has already been verified (Holobar et al., 2014, 2010; Marateb et al., 2011).  
425 Although most of the people with ALS in this study were evaluated by needle EMG at the  
426 time of diagnosis, clinical needle examinations of decomposition were not performed, and the  
427 EMG waveforms were visually evaluated to confirm pathophysiology. Therefore, to reduce  
428 the physical pain of the people with ALS, we did not perform additional needle EMG  
429 measurements, and only HD-SEMG measurements were performed in this study.

430

## 431 **5. Conclusion**

432 We identified disease-specific MU firing behavior in people with ALS using HD-  
433 SEMG. The people with ALS exhibited overactivity in all investigated types of MUs  
434 compared to control subjects, indicating the usefulness of this new method for the  
435 noninvasive assessment of neuromuscular degeneration in people with ALS. Abnormalities in

436 MU firing behavior were significantly correlated with disease severity, providing an  
437 important physiological index for understanding the pathophysiology of ALS.

438

#### 439 **Data availability statement**

440 All primary data reported here are available from the corresponding author (Y.N.)  
441 upon reasonable request.

442

#### 443 **Conflict of Interest Statement**

444 The authors declare no competing interests.

445

#### 446 **Author contributions**

447 Conception or design of the work: Y.N., A.H., K.W., and T.T.; Acquisition or  
448 analysis or interpretation of data for the work: Y.N., A.H., K.W., T.T., H.U., N.M., H.M.,  
449 S.T., and A.S.H.; Drafting the work or revising it critically for important intellectual content:  
450 Y.N., A.H., K.W., T.T., H.U., N.M., H.M., S.T., and A.S.H. All authors have read and  
451 approved the final version of this manuscript and agree to be accountable for all aspects of the  
452 work in ensuring that questions related to the accuracy or integrity of any part of the work are  
453 appropriately related to the accuracy or integrity of any part of the work are appropriately

454 investigated and resolved. All persons designated as authors qualify for authorship, and all  
455 those who qualify for authorship are listed.

456

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604 **Figure legends**

605 **Fig. 1. Experimental setting.**

606 All subjects were seated on a custom-made dynamometer with their hip and knee fixed at 90°  
607 and performed the motor task (A). A 30% maximal voluntary contraction task was performed  
608 as a submaximal voluntary contraction task (B). A 2D grid of 64 electrodes (C) was attached  
609 to the vastus lateralis muscle (D).

610

611 **Fig. 2. Definition of recruitment threshold (RT) and motor unit (MU) subgroups.**

612 The RT was calculated from the spike train detected at the beginning of each MU (the white  
613 circles indicate the RT, and the solid line indicates torque data) in control subject (A) and  
614 ALS individual (C). Three MU subgroups were defined with reference to the RT in control  
615 subject (B) and ALS individual (D): the MU10 group includes MUs recruited with less than  
616 10% maximal voluntary contraction (MVC), the MU20 group includes MUs recruited at  
617 forces between 10% MVC and 20% MVC, and the MU30 group includes MUs recruited at  
618 forces higher than 20% MVC.

619

620 **Fig. 3. Estimation of  $\Delta F$  and transition interval.**

621 Example data from one subject (control subject, age = 59 years, male) showing the  
622 instantaneous discharge rate profiles of two concurrently active motor units expressed in

623 pulses per second (pps). The first recruited motor unit was classified as the reference motor  
624 unit (B), and the second recruited motor unit was the test motor unit (A).  $\Delta F$  was estimated as  
625  $F_{\text{start}} - F_{\text{end}}$ . Relationship between the consecutive interval difference (CD) and mean  
626 interspike interval (MISI) (C). Arrow, transition interval.

627

628 **Fig. 4. Comparison of motor unit (MU) properties between the people with amyotrophic**  
629 **lateral sclerosis (ALS) and control subjects.**

630 People with ALS showed significantly higher recruitment thresholds (A), MU firing rates at  
631 recruitment (B), and CV values of the MU firing rate (C) than control subjects.

632

633 **Fig. 5. Comparison of  $\Delta F$  and transition interval (TI) between people with amyotrophic**  
634 **lateral sclerosis (ALS) and control subjects.**

635 People with ALS showed significantly higher values of  $\Delta F$ , and significantly lower values of  
636 TI than control subjects.

637

638 **Fig. 6. Comparison of the mean motor unit (MU) firing rate in control subjects and**  
639 **people with amyotrophic lateral sclerosis (ALS) among the MU10, MU20, MU30,**  
640 **MU10Nm, and MU20Nm groups.**

641 There were significant differences between the control subjects and people with ALS in each  
642 MU subgroup (e.g., MU10, MU20, MU30 (A), and MU10Nm, MU20Nm (B)). Furthermore,  
643 control subjects showed a higher mean MU firing rate for the early-recruited MUs than for the  
644 later-recruited MUs, whereas no such difference was observed in people with ALS. \*  $p <$   
645 0.0001, †  $p < 0.0001$  compared with control subjects.

646

647 **Fig. 7. Relationship of the recruitment threshold (RT) and/or absolute torque and with**  
648 **the motor unit (MU) firing rate in control subjects and people with amyotrophic lateral**  
649 **sclerosis (ALS).**

650 Significant correlations of the Rt and/or absolute torque with the MU firing rate were  
651 observed in control subjects (A and C) but not in people with ALS (B and D).

652

653 **Fig. 8. Relationship between the amyotrophic lateral sclerosis (ALS) Functional Rating**  
654 **Scale-Revised (ALSFRS-R) score and motor unit (MU) properties in people with ALS.**

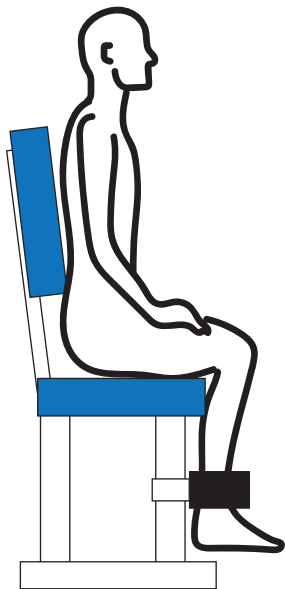
655 Significant correlations were observed between the ALSFRS-R scores and number of MUs  
656 (A), RT (B), mean MU firing rate (C), and MU firing rate at recruitment (D).

657

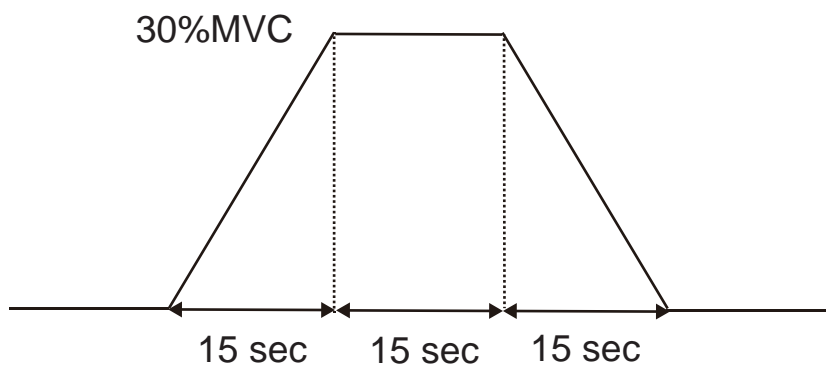
658 **Fig. 9. The receiver operating characteristic (ROC) curve of the motor unit (MU) firing**  
659 **rates at recruitment.**

660 The ROC curve was calculated from the data of people with amyotrophic lateral sclerosis (ALS)  
661 and control subjects. The cutoff value for detecting ALS was calculated to be  $> 7.6$ , and the  
662 sensitivity and specificity were 81.25% and 90.63%, respectively.

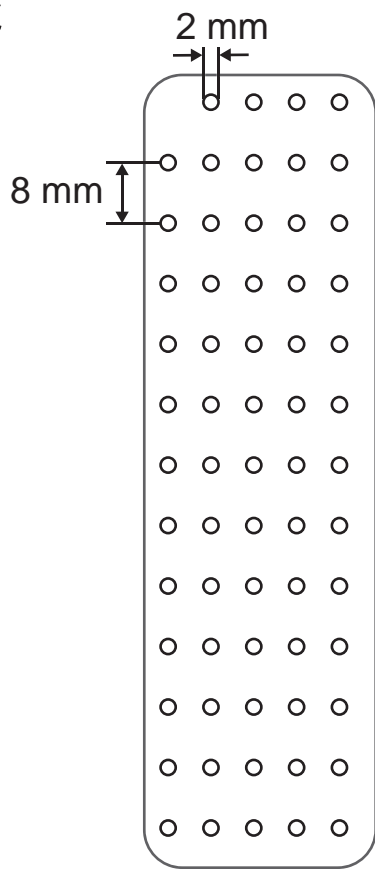
A



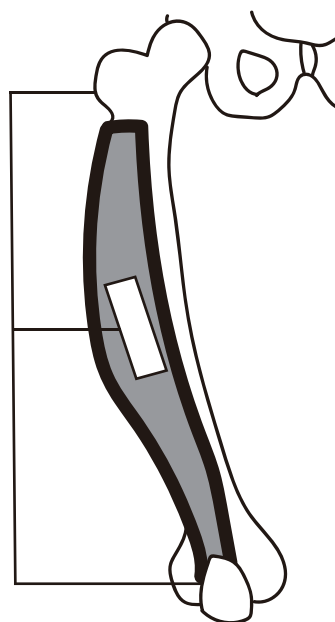
B



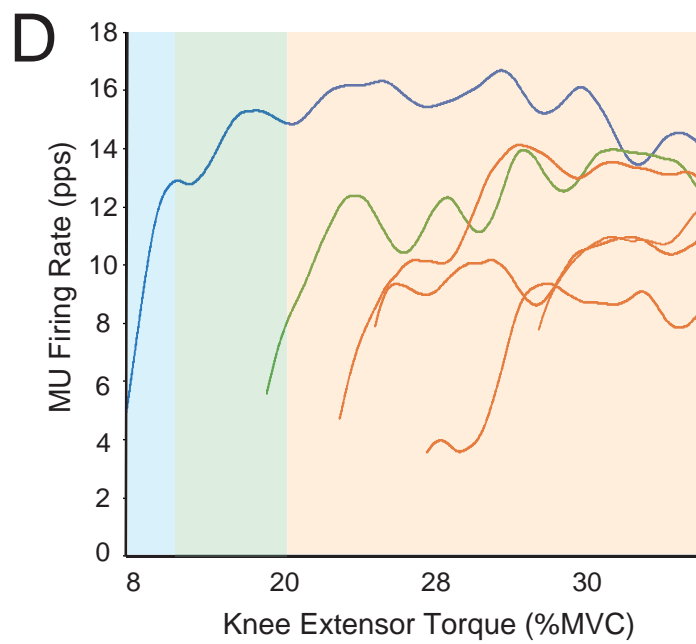
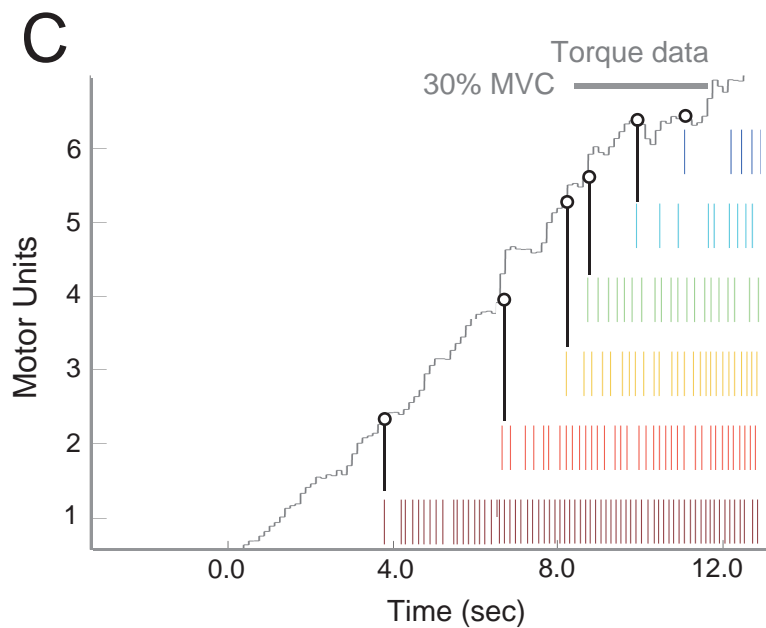
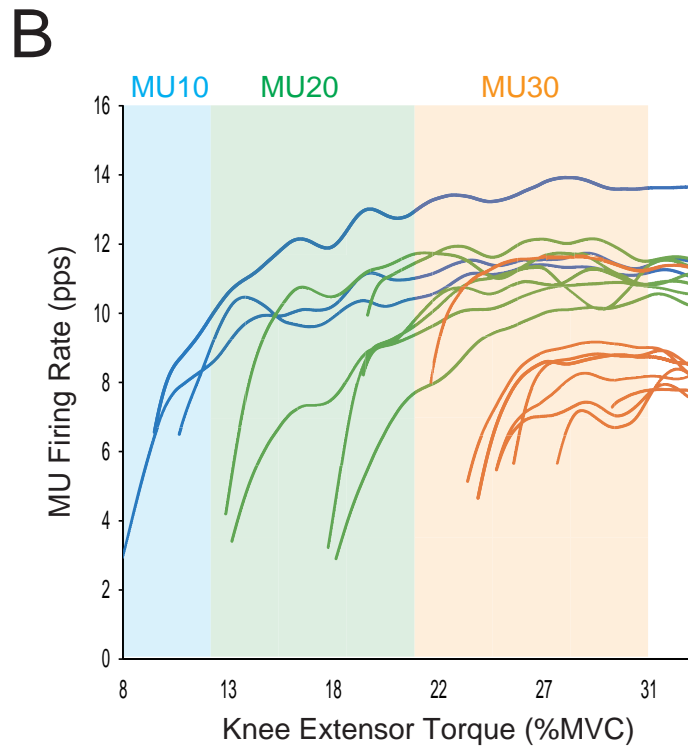
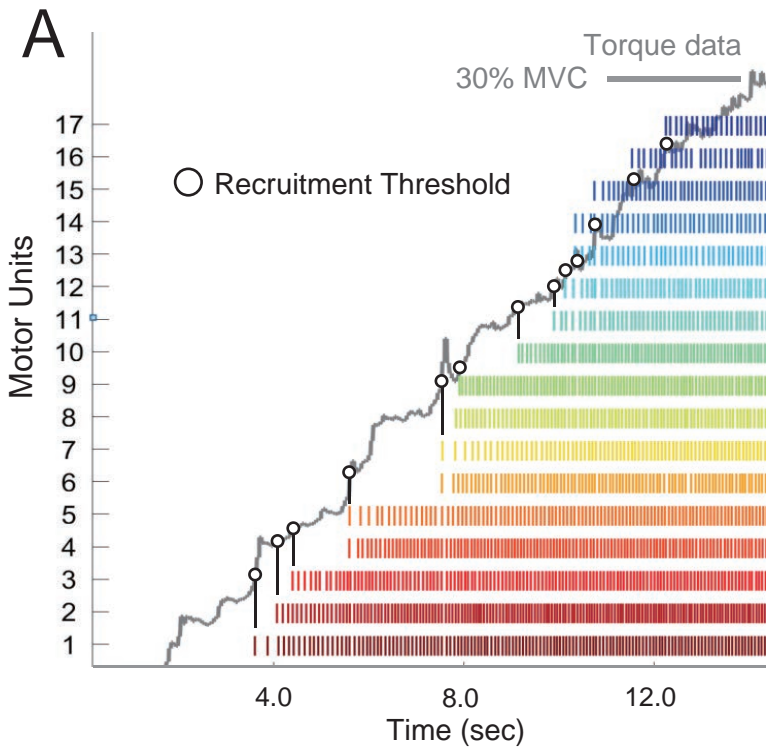
C

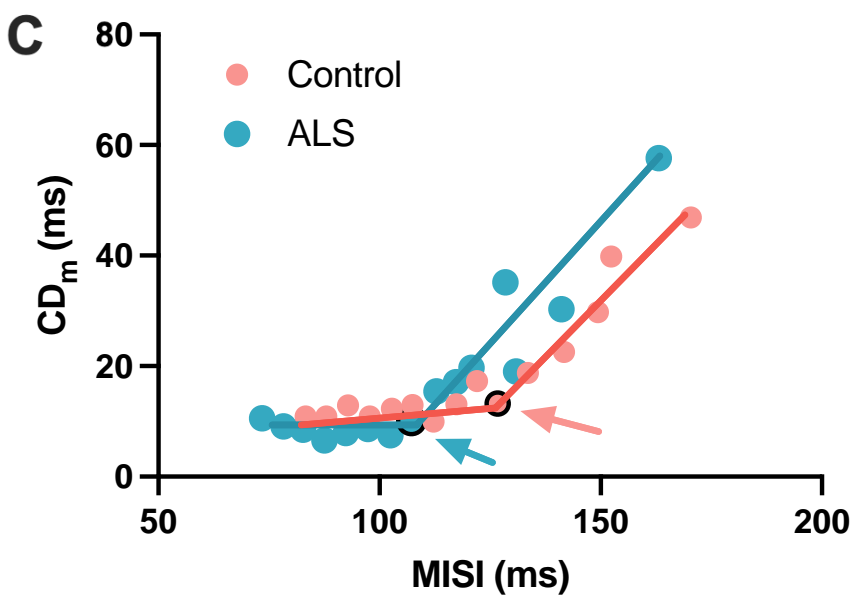
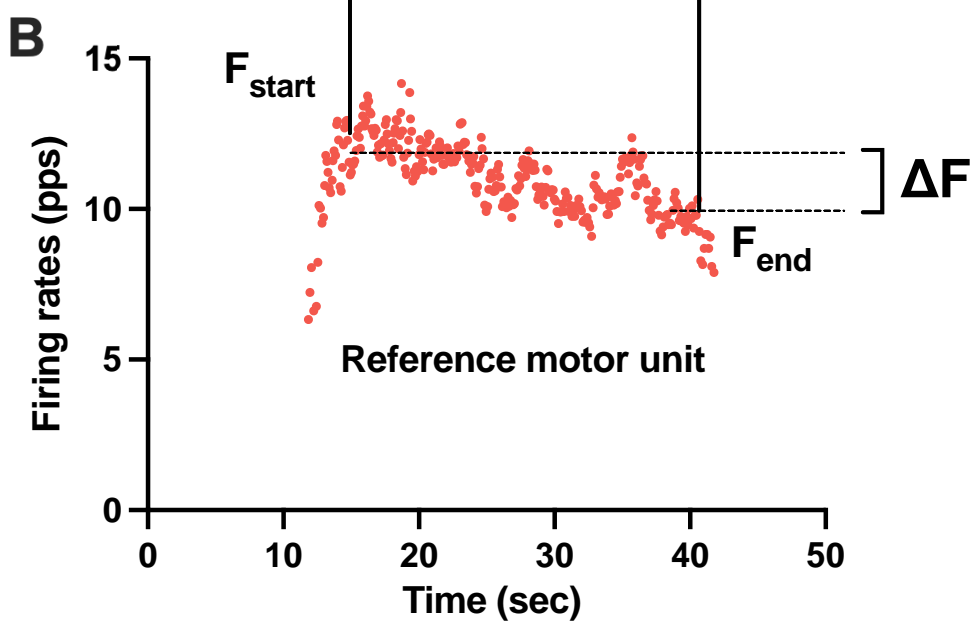
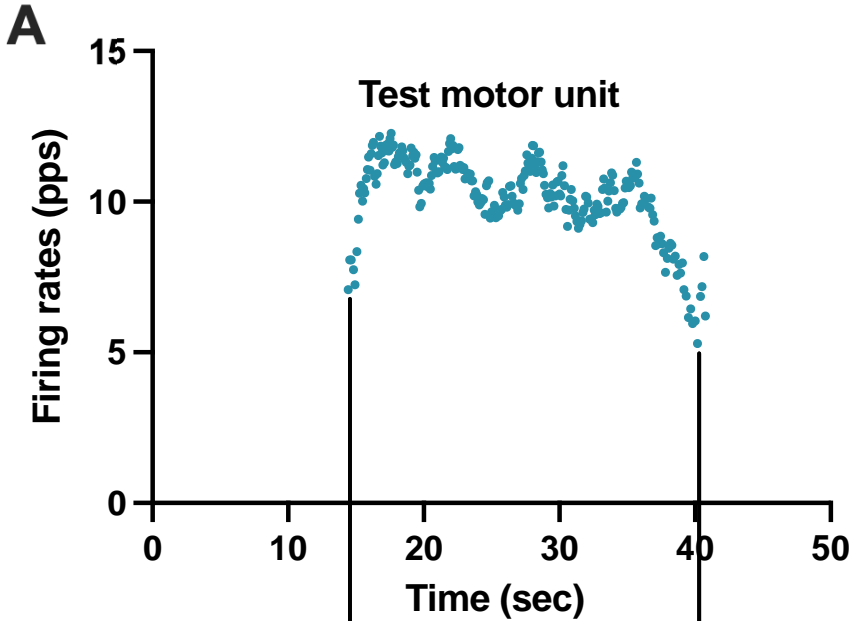


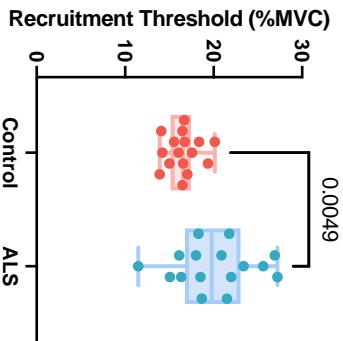
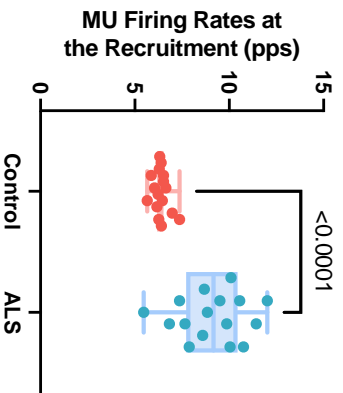
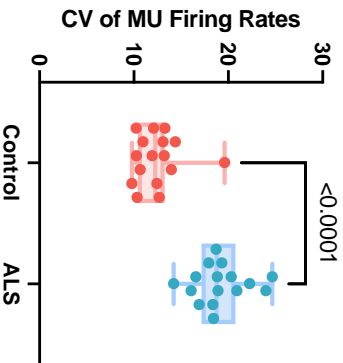
D

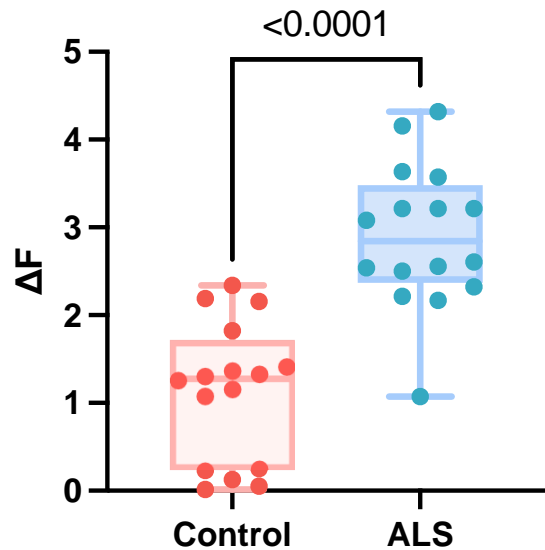
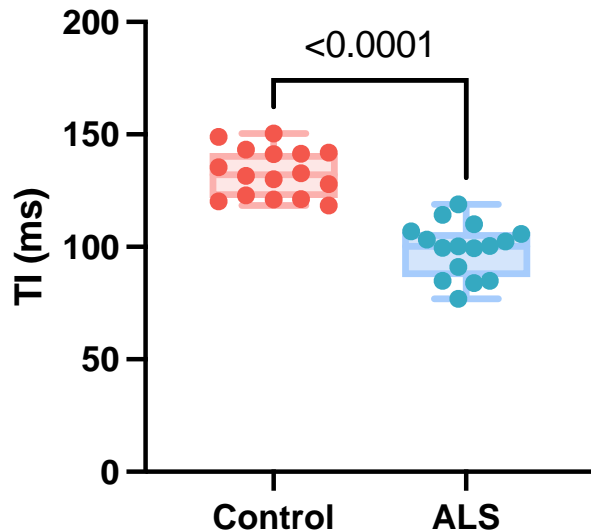








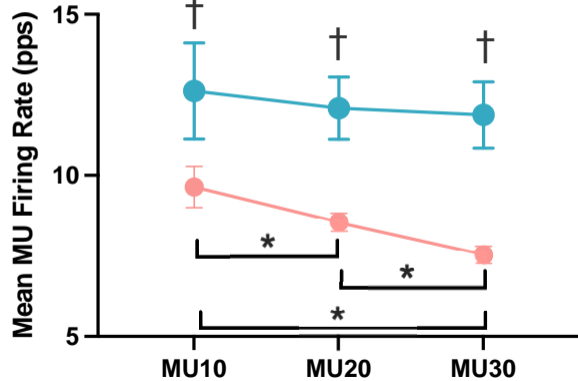
**A****B****C**

**A****B**

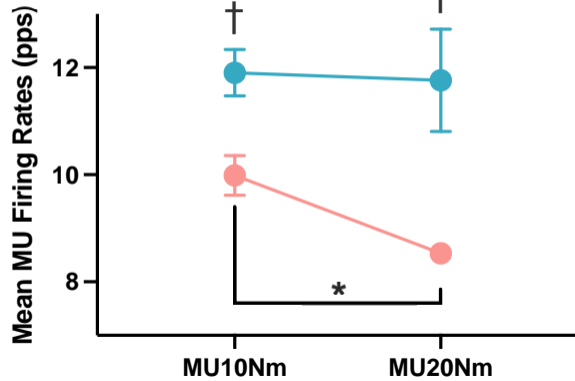
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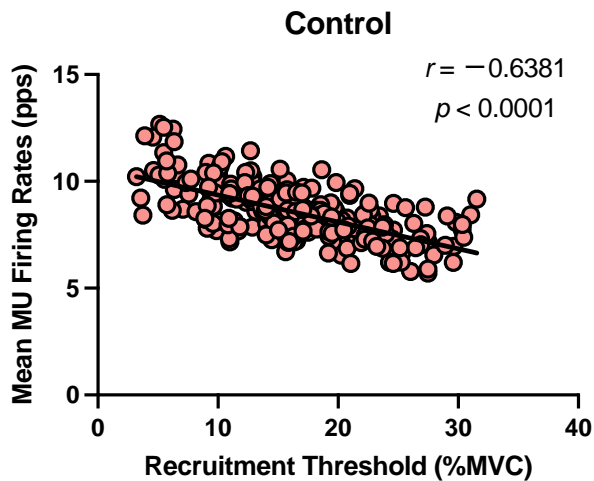
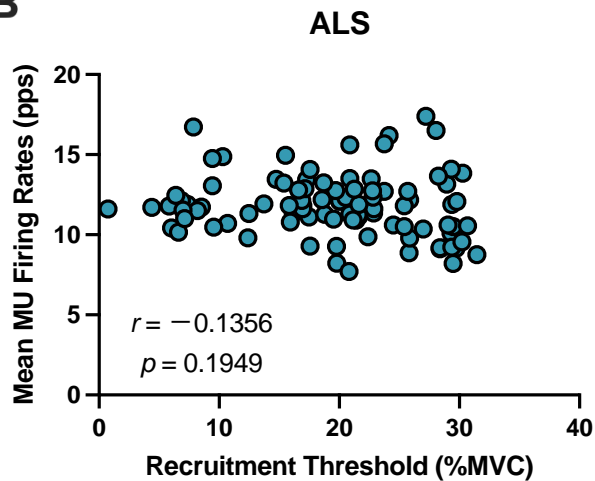
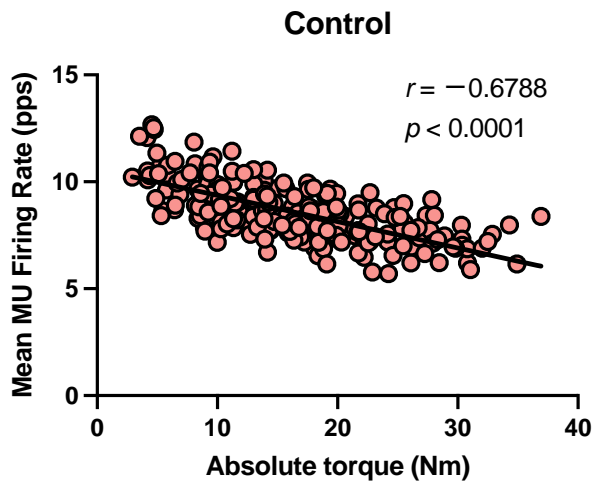
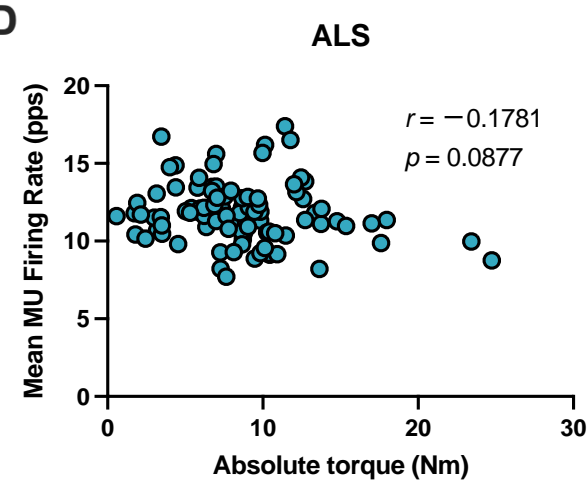
ALS

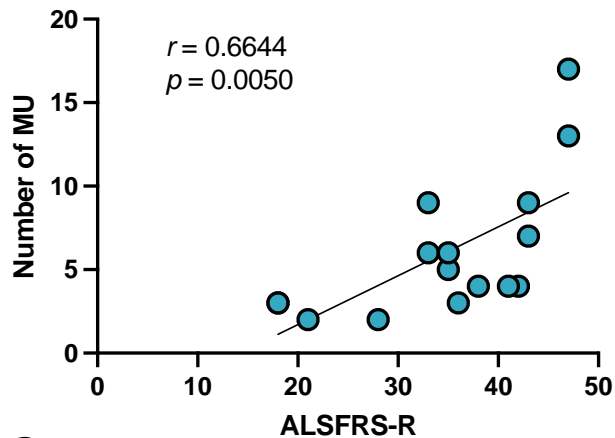
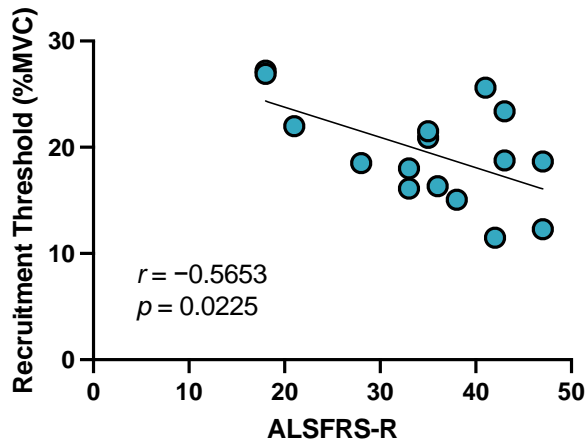
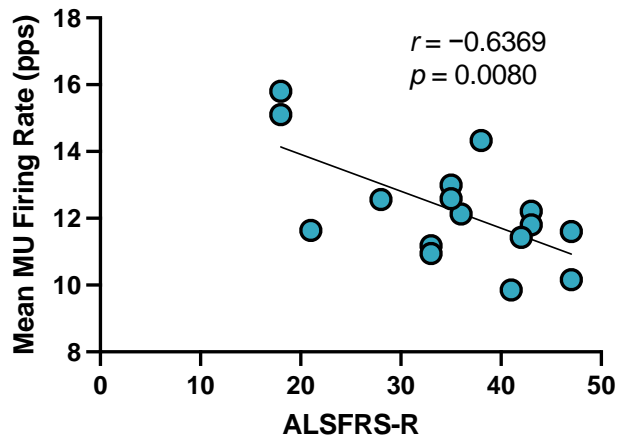
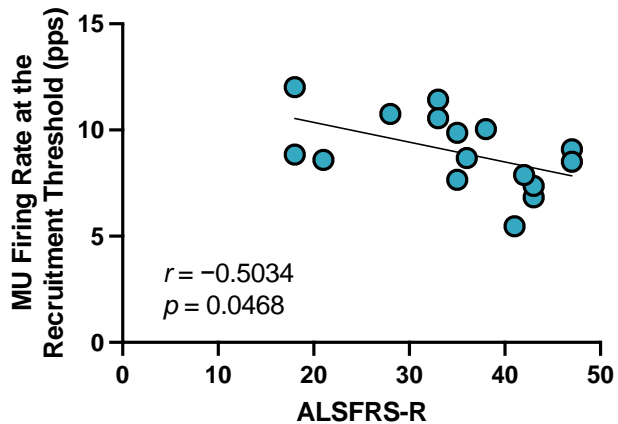
**A**



**B**



**A****B****C****D**

**A****B****C****D**

Cut off value > 7.660, AUC = 0.9258  
Sensitivity = 81.25, Specificity = 90.63

